

# AGENDA

## AUDIT COMMITTEE

**MONDAY 16 APRIL 2012 AT 10.00 AM**

in the Flensburg Room, Civic Centre, Carlisle

A private preparatory / briefing meeting for Members of the Committee will be held at 9.30 am in the Flensburg Room.

### **Apologies for Absence**

To receive apologies for absence and notification of substitutions

### **Declarations of Interest**

Members are invited to declare any personal interests, and any personal interests which are prejudicial, relating to any item on the agenda at this stage.

### **Public and Press**

To agree that the items of business within Part A of the agenda should be dealt with in public.

### **Minutes**

To approve and sign the Minutes of the meeting held on 13 January 2012.  
[Copy Minutes in Minute Book Volume 38(5) / herewith]

## **PART A**

### **To be considered when the Public and Press are present**

#### **A.1 MINUTES OF RESOURCES OVERVIEW AND SCRUTINY PANEL**

The Minutes of the meetings of the Resources Overview and Scrutiny Panel held on 5 January and 16 February 2012 are submitted for information.  
(Copy Minutes herewith)

#### **A.2 RESPONSE FROM THE EXECUTIVE**

To consider the following response:

EX.022/12 – Audit Plan 2011/12  
(Copy Minute Excerpt herewith)

#### **A.3 FUTURE WORK OF THE AUDIT PRACTICE**

To receive a verbal update from the Audit Commission on the future work of the audit practice.

#### **A.4 CERTIFICATION OF CLAIMS AND RETURNS – ANNUAL REPORT**

The Audit Commission to present their Annual Report on Claims and Returns for 2010/11.  
(Copy Report herewith)

#### **A.5 REVIEW OF FINANCIAL SYSTEMS 2011/12**

The Audit Commission to present their Review of Financial Systems for 2011/12.  
(Copy Report herewith)

#### **A.6 AUDIT COMMITTEE'S ANNUAL REPORT 2011/12**

The Chairman of the Audit Committee to submit a report summarising the work undertaken by the Audit Committee during the past year.  
(Copy Report RD.05/12 herewith)

**A.7 2011/12 FINAL ACCOUNTS PROCESS**

The Director of Resources to submit a report providing information on the 2011/12 final accounts process.

(Copy Report RD.98/11 herewith)

**A.8 STRATEGIC AUDIT PLAN AND AUDIT PLAN FOR 2012/13**

The Director of Resources to submit a report providing details of the updated Strategic Audit Plan and the Proposed Audit Plan for 2012/13.

(Copy Report RD.03/12 herewith)

**A.9 AUDIT SERVICES PROGRESS REPORT**

The Director of Resources to submit a report summarising the work carried out by Audit Services since the previous report to the Committee on 13 January 2012.

(Copy Report RD.04/12 herewith)

**A.10 ANNUAL GOVERNANCE STATEMENT ACTION PLAN**

The Director of Resources to submit a report updating Members on progress made to the Annual Governance Statement Action Plan.

(Copy Report RD.06/12 herewith)

**A.11 TREASURY TRANSACTIONS 2011/12 – QUARTER 3**

The Director of Resources to submit a report providing the regular quarterly summary of Treasury Management Transactions.

(Copy Report RD.90/11 herewith)

**A.12 CARLISLE AIRPORT: UPDATE**

Pursuant to Minute AUC.11/12, the Director of Economic Development and Director of Governance to submit a report setting out the main changes to the style and content of Development Control Committee reports; together with an update on implementation of the Action Plan.

(Copy Report ED.18/12 and GD.22/12 herewith)

## A.13 CORPORATE RISK MANAGEMENT

The Deputy Chief Executive to submit a report updating Members on the Council's Corporate Risk Management arrangements.

(Copy Report SD.05/12 herewith)

### PART B

#### To be considered in Private

- NIL -

#### **Members of the Audit Committee:**

**Conservative:** Lishman, Mallinson E (Chairman), Nedved

**Labour:** Boaden, Franklin, Patrick (Vice-Chairman)

**Independent:** Betton

*Substitutes: Bowman S, Craig, Parsons (Conservative), Bowditch, Whalen, Wilson (Labour), Graham (Independent).*

**Enquiries, requests for reports, background papers, etc to Lead Committee Clerk:  
Morag Durham – 817036**

**Notes to Members:**