



Executive

Date: Tuesday, 31 August 2021

Time: 16:00

Venue: Council Chamber

Chair: Councillor John Mallinson

Present: Councillor Ellis, Councillor Christian, Councillor Higgs, Councillor Mrs Mallinson, Councillor Nedved

Also Present: Town Clerk and Chief Executive
Corporate Director of Governance and Regulatory Services
Corporate Director of Finance and Resources
Corporate Director of Economic Development
Policy and Communications Manager

EX.79/21 APOLOGIES FOR ABSENCE

An apology for absence was submitted on behalf of the Deputy Chief Executive.

EX.80/21 DECLARATIONS OF INTEREST

There were no declarations of interest submitted.

EX.81/21 PUBLIC AND PRESS

RESOLVED – That the Agenda be agreed as circulated.

EX.82/21 MINUTES OF PREVIOUS MEETINGS

RESOLVED - That the minutes of the meeting of the Executive held on 2 August 2021 be agreed as a correct record.

EX.83/21 CALL IN AND URGENCY PROCEDURES

The Leader reported that the Mayor had, on 20 August 2021, agreed that the following items be exempt from call in as call-in procedures would overlap the City Council meeting on 14 September 2021 when the Council would be asked to approve the above matters:

- Item A.1 Medium Term Financial Plan 2022/23 – 2026/27
- Item A.2 Capital Investment Strategy 2022/23 – 2026/27
- Item A.3 Asset Management Plan 2022 – 2027
- Item A.4 Carlisle Plan 2021 – 2023
- Item A.10 Delivery of Affordable Housing in Carlisle

EX.84/21 **MEDIUM TERM FINANCIAL PLAN 2022/23 to 2026/27 (Key Decision – KD.08/21)

(In accordance with Paragraph 15(i) of the Overview and Scrutiny Procedure Rules, the Mayor had agreed that call-in procedures should not be applied to this item)

Portfolio Finance, Governance and Resources

Subject Matter

Pursuant to Minute EX.65/21, the Finance, Governance and Resources Portfolio Holder presented the Medium Term Financial Plan (RD.28/21 (amended)) which set out the current framework for planning and managing the Council's financial resources, to develop its annual budget strategy and update its current five-year financial plan. The Plan linked the key aims and objectives of the Council, as contained in the Carlisle Plan, to the availability of resources, enabling the Council to prioritise the allocation of resources to best meet its overall aims and objectives. The MTFP would inform the budget process and would be updated for changes when known.

The Business and Transformation Scrutiny Panel had scrutinised the matter on 26 August 2021 (BTSP.63/21 referred).

The Chair of the Business and Transformation Scrutiny Panel had been invited to speak on the matter but was not in attendance.

The Finance, Governance and Resources Portfolio Holder moved, and the Leader seconded the recommendations.

Summary of options rejected

That the Medium Term Financial Plan 2022/23 to 2026/27 should not be referred to Council for approval.

DECISION

That the Executive had considered the comments made by the Business and Transformation Scrutiny Panel on 26 August 2021 as detailed within Minute BTSP.63/21; and referred the Medium Term Financial Plan 2022/23 to 2026/27 to Council on 14 September 2021 with a recommendation that the said Plan be approved.

Reasons for Decision

To consider the comments of the Business and Transformation Scrutiny Panel on the Medium Term Financial Plan prior to recommending the Plan to Council for approval.

EX.85/21 **CAPITAL INVESTMENT STRATEGY 2022/23 – 2026/27
(Key Decision – KD.08/21)

(In accordance with Paragraph 15(i) of the Overview and Scrutiny Procedure Rules, the Mayor had agreed that call-in procedures should not be applied to this item)

Portfolio Finance, Governance and Resources

Subject Matter

Pursuant to Minute EX.66/21, the Finance, Governance and Resources Portfolio Holder submitted report RD.29/21 (amended) presenting the Council's Capital Investment Strategy 2022/23 - 2026/21, which was intended to direct the Council's Capital Programme and the

allocation of resources over that five-year period. The guidance contained therein also complemented and supplemented the Medium Term Financial Plan.

The background position; key messages; and risks were as detailed within the report.

The Business and Transformation Scrutiny Panel had considered and commented upon the draft Capital Investment Strategy 2022/23 - 2026/27 at their meeting on 26 August 2021 (BTSP.64/21 referred).

The Chair of the Business and Transformation Scrutiny Panel had been invited to speak on the matter but was not in attendance.

The Finance, Governance and Resources Portfolio Holder moved the recommendation set out in the report, which was duly seconded by the Leader.

Summary of options rejected

That the Capital Investment Strategy should not be referred to Council for approval

DECISION

That the Executive had considered the comments of the Business and Transformation Scrutiny Panel on 26 August 2021 as detailed within Minute BTSP.64/21; and referred the Capital Investment Strategy 2022/23 - 2026/27 to the meeting of the City Council on 14 September 2021 with a recommendation that the Strategy be approved.

Reasons for Decision

To consider the comments of the Business and Transformation Scrutiny Panel on the Capital Investment Strategy prior to recommending the Strategy to Council for approval.

EX.86/21 **ASSET MANAGEMENT PLAN 2022 TO 2027
(Key Decision – KD.14/21)

(In accordance with Paragraph 15(i) of the Overview and Scrutiny Procedure Rules, the Mayor had agreed that call-in procedures should not be applied to this item)

Portfolio Finance, Governance and Resources

Relevant Scrutiny Panel Business and Transformation

Subject Matter

Pursuant to Minute EX.67/21, the Finance, Governance and Resources Portfolio Holder submitted report GD.47/21 presenting the Asset Management Plan 2022 to 2027.

The Asset Management Plan was reviewed annually and updated, along with the Medium Term Financial Plan and the Capital Investment Strategy; and provided a succinct document which reflected the issues identified at paragraph 2.1 of the report.

The Business and Transformation Scrutiny Panel had considered and commented on the Asset Management Plan 2022 to 2027 at their meeting on 26 August 2021 (BTSP.65/21 referred).

The Chair of the Business and Transformation Scrutiny Panel had been invited to address the

Executive but was not in attendance today.

The Finance, Governance and Resources Portfolio Holder concluded his presentation by moving the recommendation, which was duly seconded by the Leader.

Summary of options rejected

That the Asset Management Plan should not be referred to Council for adoption.

DECISION

That the Executive had considered the comments of the Business and Transformation Scrutiny Panel on 26 August 2021 as detailed within Minute BTSP.65/21; and referred the Asset Management Plan 2022 to 2027 to Council on 14 September 2021 with a recommendation that the said Plan be adopted.

Reasons for Decision

To update the Council's Asset Management Plan and the position of the property portfolio in the light of the Asset Review Business Plan and Disposal Programme and other corporate initiatives

EX.87/21 **CARLISLE PLAN 2021-2023

(Key Decision - KD.15/21)

(In accordance with Paragraph 15(i) of the Overview and Scrutiny Procedure Rules, the Mayor had agreed that call-in procedures should not be applied to this item)

Portfolio Leader

Relevant Scrutiny Panel

Health and Wellbeing; Economic Growth; and Business and Transformation

Subject Matter

The Leader presented progress on the adoption of a new Carlisle Plan, which formed part of the Council's Policy Framework (PC.27/21).

The Leader reported that the Carlisle Plan had been subject to public consultation, 100 responses had been received and a summary of the responses had been included in the report. The Plan had been scrutinised by all three Scrutiny Panel (BTSP.52/21; EGSP.45/21; and HWSP.49/21 referred).

The three Chairs of the Scrutiny Panels had been invited to speak on the matter but were not in attendance.

The Leader moved the recommendation to Council to adopt the Carlisle Plan with the following additions:

“Airport -

We will continue to support the aspiration for Carlisle Lake District Airport to develop as a regional gateway, with the potential to build on economic relationships between the Borderlands, Northern Ireland and the Isle of Man.

Turkish Baths –

Finalise studies including Statement of Significance and Feasibility Study. Implement works to the exterior of the building and the installation of new utilities systems, to ensure that the building can be operated independently.”

The Finance, Governance and Resources Portfolio Holder seconded the recommendation and new additions. He highlighted the comments and resolutions of the three Scrutiny Panels, which the Executive had considered and reiterated that an impoverished city could not improve the health and wellbeing of its citizens.

The Environment and Transport Portfolio Holder thanked the officers for their work in preparing the Carlisle Plan and commented on the clear ties with the Medium-Term Financial Plan and allocation of resources. The Plan showed the serious commitment to the investment in Carlisle with a focus on health and wellbeing and the environmental agenda.

The Culture, Heritage and Leisure Portfolio Holder added that prosperity meant looking forward and hope and felt that it was appropriate that people looked forward and hoped for a better future. He thanked Scrutiny for their input and officers for their work in bringing the Carlisle Plan together.

The Economy, Enterprise and Housing Portfolio Holder also thanked officers and highlighted the emphasis in the Plan on economic growth and development projects which were critical to the future growth and sustainability of the city.

The Communities, Health and Wellbeing Portfolio Holder noted that the Carlisle Plan was critical to support the health agenda moving forward.

Summary of options rejected

That the Carlisle Plan 2021-2023 should not be referred to Council for approval.

DECISION

That the Executive recommended the Carlisle Plan 2021-2023 (PC.27/21) to Council for adoption with the following additions:

“Airport -

We will continue to support the aspiration for Carlisle Lake District Airport to develop as a regional gateway, with the potential to build on economic relationships between the Borderlands, Northern Ireland and the Isle of Man.

Turkish Baths –

Finalise studies including Statement of Significance and Feasibility Study. Implement works to the exterior of the building and the installation of new utilities systems, to ensure that the building can be operated independently.”

Reasons for Decision

The commitment of investment in Carlisle and development of clear programmes and strategies now meant that it was an appropriate time to update the Carlisle Plan.

EX.88/21 GAMBLING ACT 2005 - DRAFT STATEMENT OF PRINCIPLES 2022-2025
(Key Decision - KD.19/21)

Portfolio Finance, Governance and Resources

Relevant Scrutiny Panel Business and Transformation

Subject Matter

The Finance, Governance and Resources Portfolio Holder reported (GD.49/21) that Section 349 of the Gambling Act 2005 required all licensing authorities to prepare and publish a Statement of Principles that they proposed to apply in exercising their functions under the Act during the three year period to which the policy applied.

The Finance, Governance and Resources Portfolio Holder advised that a draft Statement of Principles had been prepared for consultation and was approved by the Licensing Committee on 18 August 2021 (Minute LC.24/21). The draft Statement was based on the requirements of the Act and the current guidance issued by the Gambling Commission. It was noted that there had been a limited number of changes to both regulation issued by the Secretary of State and guidance issued by the Gambling Commission in the intervening 3 year period, none of which materially affected the content of the current Statement of Principles.

Although there was no statutory duty on the Licensing Authority to complete an area profile, the authority had mapped out local areas of concern, details of which were included at paragraphs 10.1 – 10.2 and Appendix 4 of the draft Policy.

The Finance, Governance and Resources Portfolio Holder concluded by formally moving the recommendations, which were seconded by the Leader.

Summary of options rejected None

DECISION

That the Executive:

1. Noted the current position regarding the consultation process.
2. Had considered the draft Gambling Policy and noted that, following a consultation period, the Executive would have the opportunity to consider any amendments at its meeting on 20 December 2021.

Reasons for Decision

To seek the observations of the Executive on the draft Gambling Policy.

EX.89/21 NOTICE OF EXECUTIVE KEY DECISIONS
(Non Key Decision)

Portfolio Cross-Cutting

Relevant Scrutiny Panel

Health and Wellbeing; Economic Growth; Business and Transformation

Subject Matter

The Notice of Executive Key Decisions dated 30 July 2021 was submitted for information. The Leader moved and the Finance, Governance and Resources Portfolio Holder seconded the paper.

Summary of options rejected None

DECISION

That, subject to the above, the Notice of Executive Key Decisions dated 30 July 2021 be received.

Reasons for Decision Not applicable

**EX.90/21 SCHEDULE OF DECISIONS TAKEN BY THE LEADER / PORTFOLIO
HOLDER**
(Non Key Decision)

Portfolio Cross-cutting

Relevant Scrutiny Panel

Health and Wellbeing; Economic Growth; Business and Transformation

Subject Matter

Details of decisions taken by the Leader / Portfolio Holders under delegated powers were submitted.

The Leader moved and the Finance, Governance and Resources Portfolio Holder seconded the paper.

Summary of options rejected None

DECISION

That the decisions, attached as Appendix A, be noted.

Reasons for Decision Not applicable

EX.91/21 SCHEDULE OF DECISIONS TAKEN BY OFFICERS
(Non Key Decision)

Portfolio Cross-cutting

Relevant Scrutiny Panel

Health and Wellbeing; Economic Growth; Business and Transformation

Subject Matter

Details of decisions taken by Officers under delegated powers were submitted. The Leader moved and the Finance, Governance and Resources Portfolio Holder seconded the paper.

Summary of options rejected None

DECISION

That the decisions, attached as Appendix B, be noted.

Reasons for Decision Not applicable

EX.92/21 JOINT MANAGEMENT TEAM

(Non Key Decision)

Portfolio Cross-cutting

Relevant Scrutiny Panel

Health and Wellbeing; Economic Growth; Business and Transformation

Subject Matter

The Minutes of the meeting of the Joint Management Team held on 2 August 2021 were submitted for information.

The Leader moved and the Finance, Governance and Resources Portfolio Holder seconded the Minutes.

Summary of options rejected None

DECISION

That the Minutes of the meeting of the Joint Management Team held on 2 August 2021, attached as Appendix C, be received.

Reasons for Decision Not applicable

EX.93/21 **OPTIONS FOR INCREASING THE DELIVERY OF AFFORDABLE HOUSING IN CARLISLE

(Non Key Decision)

(In accordance with Paragraph 15(i) of the Overview and Scrutiny Procedure Rules, the Mayor had agreed that call-in procedures should not be applied to this item)

Portfolio Economy, Enterprise and Housing

Relevant Scrutiny Panel Health and Wellbeing

Subject Matter

The Economy, Enterprise and Housing Portfolio Holder presented the response to a Council motion from November 2019 (detailed in Section 1.1 of report ED.28/21) to consider a number of specific measures in respect of enhancing affordable housing delivery. The report provided a response to each of the individual issues raised in the motion, as well as looking at wider potential options for the delivery of increased levels of affordable housing in Carlisle.

The Economy, Enterprise and Housing Portfolio Holder drew particular attention to section 8.1

which summarised the report's conclusions on the recommendations set out in the motion. He thanked the Housing development Officer for the report.

The Economy, Enterprise and Housing Portfolio Holder moved the recommendations, which were seconded by Leader.

Summary of options rejected none

DECISION

That the Executive:

- 1) noted the work undertaken to deliver affordable homes in Carlisle;
- 2) considered the potential options set out in report ED.28/21 for increasing the level of affordable housing delivery;
- 3) recommended that, in view of the Local Government Reorganisation, the matter be reviewed by the new Unitary Council.

Reasons for Decision

To provide a response to a Motion agreed by full Council on 5 November 2019

EX.94/21 CARLISLE CITY CENTRE TASKFORCE

(Non Key Decision)

Portfolio Economy, Enterprise and Housing

Relevant Scrutiny Panel Economic Growth

Subject Matter

The Economy, Enterprise and Housing Portfolio Holder gave an overview of the proposals for a new city centre taskforce, made up of key stakeholders in the city centre, aimed at building new networks in the city to encourage greater vitality and viability in the city centre, and ensure resilience following the Covid-19 pandemic. (ED.29/21)

The Economic Growth Scrutiny Panel had considered and commented upon the proposals at their meeting on 29 July 2021 (EGSP.48/21 referred).

The Chair of the Economic Growth Scrutiny Panel had been invited to speak on the matter but was not in attendance.

The Economy, Enterprise and Housing Portfolio Holder agreed to consider how the views of local citizens could be incorporated into the work of the Taskforce.

The Economy, Enterprise and Housing Portfolio Holder moved the recommendation to approve the Taskforce, which was seconded by the Communities, Health and Wellbeing Portfolio Holder.

Summary of options rejected

Not to approve the establishment of a City Centre Taskforce

DECISION

That the Executive:

- 1) noted the comments from the Economic Growth Scrutiny Panel regarding the establishment of a City Centre Taskforce (EGSP.48/21 referred);
- 2) approved the establishment of a City Centre Taskforce, as per the Terms of Reference (appendix A, report ED.29/21), subject to minor amends.

Reasons for Decision

The establishment of a City Centre Taskforce contribute to Carlisle Plan priorities P1 and P3

EX.95/21 REVENUE BUDGET OVERVIEW AND MONITORING REPORT: APRIL TO JUNE 2021

(Non Key Decision)

Portfolio Finance, Governance and Resources

Relevant Scrutiny Panel Business and Transformation

Subject Matter

The Finance, Governance and Resources Portfolio Holder submitted report RD.32/21 the purpose of which was to provide an overview of the Council's overall budgetary position for the period April to June 2021 for revenue schemes only, together with details of the impact of COVID-19 on the revenue budget.

The Finance, Governance and Resources Portfolio Holder highlighted, in particular, some of the significant service expenditure and income variances identified at paragraph 2.4.

Section 3 recorded that the Council's financial position was affected by a number of external factors which would have a financial impact during the course of the year and ultimately at the year-end. The Council's financial position would continue to be closely monitored and the likely year end position would be reported more fully in a future monitoring report. It would be important to maintain a prudent approach so as to ensure a sustainable position for future years and to avoid any significant variance at the year end.

In conclusion, the Finance, Governance and Resources Portfolio Holder moved the recommendations set out in the report, which were seconded by the Leader.

Summary of options rejected None

DECISION

That the Executive:

1. noted the budgetary performance position of the Council to June 2021;
2. noted the action by the Corporate Director of Finance and Resources to write-off bad debts as detailed in paragraph 6 of Report RD.32/21;
3. noted the release of reserves as set out in the table at paragraph 2.2, and noted the virements approved as detailed in Appendix A of Report RD.32/21.

Reasons for Decision

To show that the Executive had been informed of the Council's actual financial position compared with the budgeted position and to bring to their attention any areas of concern

EX.96/21 CAPITAL BUDGET OVERVIEW AND MONITORING REPORT: APRIL TO JUNE 2021

(Non Key Decision)

Portfolio Finance, Governance and Resources

Relevant Scrutiny Panel Business and Transformation

Subject Matter

The Finance, Governance and Resources Portfolio Holder submitted report RD.33/21 providing an overview of the budgetary position of the City Council's capital programme for the period April to June 2021.

The position statement recorded that, as at the end of June 2021, expenditure of £3,691,459 had been incurred on the Council's core capital programme. When considered against the profiled budget of £3,906,461 that equated to an underspend of £215,002. The unspent balance remaining of the revised annual budget of £27,669,200 was £23,977,741.

A review of the 2021/22 capital programme would be undertaken to identify accurate project profiles for the remainder of the financial year and any potential slippage into future years.

A number of schemes were included in the capital programme for 2021/22 that required reports to be presented to the Executive for the release of funding before the project could go ahead.

The Finance, Governance and Resources Portfolio Holder concluded his presentation by moving the recommendations which were duly seconded by the Leader.

Summary of options rejected None

DECISION

That the Executive:

1. noted and had commented upon the budgetary position and performance aspects of the capital programme for the period April to June 2021.
2. noted adjustments to the 2021/22 capital programme as detailed in paragraph 2.1 of Report RD.33/21

Reasons for Decision

To keep Members informed of the budgetary position of the Council's Capital Programme for the period April to June 2021.

EX.97/21 TREASURY MANAGEMENT: APRIL TO JUNE 2021

(Non Key Decision)

Portfolio Finance, Governance and Resources

Relevant Scrutiny Panel Business and Transformation

Subject Matter

The Finance, Governance and Resources Portfolio Holder presented report RD.34/21, the purpose of which was to inform Members on various Treasury Management issues. Appendix A set out the Schedule of Treasury Transactions for the period April - June 2021, whilst Appendix B discussed the Prudential Code and Prudential Indicators for 2021/22.

The Finance, Governance and Resources Portfolio Holder moved, and the Leader seconded, that the Executive receive the report and note the Prudential Indicators as at the end of June 2021.

Summary of options rejected None

DECISION

That Report RD.34/21 be received, and the Prudential Indicators noted as at the end of June 2021.

Reasons for Decision

To inform the Executive of various Treasury Management issues

EX.98/21 UPDATE TO MOBILE HOMES POLICY 2021

(Non Key Decision)

Portfolio Finance, Governance and Resources; Economy, Enterprise and Housing

Relevant Scrutiny Panel Business and Transformation

Subject Matter

The Economy, Enterprise and Housing Portfolio Holder set out the proposed updates to the Council's Mobile Homes Act Policy, to include the Mobile Homes (Requirement for Manager of Site to be Fit and Proper Person) (England) Regulations 2020 ("the Regulations") and the charges for carrying out the new functions from 1st July 2021. (GD.51/21).

The Economy, Enterprise and Housing Portfolio Holder moved that the changes to the Policy be agreed and the Leader seconded the recommendation.

Summary of options rejected Not to agree the changes

DECISION

That the changes to the Mobile Homes Policy 2021 as detailed in report GD.51/21 be approved.

Reasons for Decision

The revised policy would enable officers to apply the licensing regime and any enforcement in a fair and consistent manner in recognition of the Enforcement Concordat and the Regulators' Compliance Code.

EX.99/21 CUMBRIA COVID-19 RECOVERY STRATEGY

(Non Key Decision)

Portfolio Cross Cutting

Relevant Scrutiny Panel Health and Wellbeing

Subject Matter

The Communities, Health and Wellbeing Portfolio Holder provided an update on the finalised Cumbria Covid-19 Recovery Strategy and recovery partnership structure. (PC.32/21)

The finalised Cumbria Covid-19 Recovery Strategy, as attached to the report, set out the overarching recovery principles and priorities for Cumbria's recovery from the pandemic. The delivery of the Strategy was mainly through existing partnerships supported by the lead organisations, a list of the partnerships had been included as appendix C in the report. The main partnerships had political oversight from each agency through their existing governance arrangements and for the partnership element, through the Strategic Recovery Coordination Group (SRCG) Chair's Forum. All statutory duties remained the responsibility of individual organisations or partnerships and the SCRG would not make decision on their behalf.

The Communities, Health and Wellbeing Portfolio Holder moved the recommendation and the Leader seconded it.

Summary of options rejected

Not to support the Cumbria Covid-19 Recovery Strategy

DECISION

The Executive agreed to support the Cumbria Covid-19 Recovery Strategy and its delivery through strategic partnerships.

Reasons for Decision

The delivery of the recovery priorities was directly linked to the programmes within the Carlisle Plan.

The Meeting ended at: 16:25