

AGENDA

Audit Committee

Monday, 22 July 2013 AT 10:00

In the Flensburg Room, Civic Centre, Carlisle, CA3 8QG

Briefing Meeting

A private preparatory / briefing meeting for Members of the Committee will be held at 9.30 am in the Flensburg Room

Appointment of Chairman

To appoint a Chairman of the Audit Committee

Appointment of Vice-Chairman

To appoint a Vice-Chairman of the Audit Committee

Apologies for Absence

To receive apologies for absence and notification of substitutions.

Declarations of Interest

Members are invited to declare any disclosable pecuniary interests, other registrable interests and any interests, relating to any item on the agenda at this stage.

Public and Press

To agree that the items of business within Part A of the agenda should be dealt with in public; and that the items of business within Part B of the agenda should be dealt with in private.

Minutes

To approve and sign the Minutes of the meeting held on 15 April 2013.
[Copy Minutes in Minute Book Volume 40(1) / herewith]

PART A

To be considered when the Public and Press are present

A.1	<u>MINUTES OF RESOURCES OVERVIEW AND SCRUTINY PANEL</u>	21 - 44
	The Minutes of the meetings of the Resources Overview and Scrutiny Panel held on 5 March, 4 April and 6 June 2013 are submitted for information. (Copy Minutes herewith)	
A.2	<u>AUDIT PLAN 2012/13</u>	45 - 64
	The Director of Grant Thornton to present their Audit Plan for 2012/13. (Copy Audit Plan herewith)	
A.3	<u>FEE LETTER 2013/14</u>	65 - 68
	The Director of Grant Thornton to present their fee letter for 2013/14. (Copy Letter herewith)	
A.4	<u>GRANT CERTIFICATION WORK PLAN FOR 2012/13</u>	69 - 76
	The Director of Grant Thornton to present their Grant Certification Work Plan for 2012/13. (Copy Report herewith)	
A.5	<u>ANNUAL GOVERNANCE STATEMENT 2012/13</u>	77 - 90
	The Director of Resources to submit a report updating Members on progress made to the Annual Governance Statement. (Copy Report RD.22/13 herewith)	

A.6	<u>STATEMENT OF ACCOUNTS 2012/13</u>	91 - 190
	<p>The Director of Resources to submit a report enclosing the 2012/13 Statement of Accounts for Members' information. (Copy Report RD.23/13 herewith)</p> <p>The following background reports are also submitted:</p>	
A.6(a)	<u>PROVISIONAL GENERAL FUND REVENUE OUTTURN 2012/13</u>	191 - 220
	<p>(Copy Report RD.12/13 herewith)</p>	
A.6(b)	<u>PROVISIONAL CAPITAL OUTTURN 2012/13 AND REVISED CAPITAL PROGRAMME 2013-14</u>	221 - 242
	<p>(Copy Report RD.11/13 herewith)</p>	
A.7	<u>TREASURY MANAGEMENT OUTTURN 2012/13</u>	243 - 266
	<p>The Director of Resources to submit a report providing the annual report on Treasury Management and the regular report on Treasury Transactions. (Copy Report RD.13/13 herewith)</p> <p>[The 2012/13 Provisional Outturn Reports were also considered by the Executive and the Resources Overview and Scrutiny Panel - copy Minute Excerpts herewith]</p>	
A.8	<u>TREASURY MANAGEMENT COUNTERPARTIES</u>	267 - 278
	<p>The Director of Resources to submit a report concerning the amendment of the limits which can be invested with different counterparties. The matter was considered by the Executive and the Resources Overview and Scrutiny Panel. (Copy Report RD.08/13 and Minute Excerpts herewith)</p>	
A.9	<u>INTERNAL AUDIT PROGRESS REPORT 2013/14 (NO.1)</u>	279 - 362
	<p>The Director of Resources to submit a report summarising the work carried out by Audit Services since the previous report to the Committee on 15 April 2013. (Copy Report RD.26/13 herewith)</p>	

A.10 INTERNAL AUDIT OUTTURN REPORT 2012/13 AND REVIEW OF THE EFFECTIVENESS OF INTERNAL AUDIT SERVICES

**363 -
414**

The Audit Manager to submit a report summarising the work carried out by the Internal Audit Shared Service for the year 2012/13. Information relating to the effectiveness of Internal Audit is also provided.

(Copy Report RD.25/13 herewith)

PART B

To be considered when the Public and Press are excluded from the meeting

B.1 OUTCOME OF INTERNAL INVESTIGATION

- Information relating to any individual;
- Information relating to the financial or business affairs of any particular person (including the authority holding that information);

Note:

Enquiries, requests for reports, background papers, etc to Lead Committee Clerk : Morag Durham - 817036

Committee Membership

Conservative: Earp, Mallinson E, Nedved (substitutes Bowman S, Craig, Parsons)

Labour: Atkinson K, Atkinson P, Bowditch, Patrick (substitutes Boaden, Franklin, Whalen)