

# CORPORATE RESOURCES OVERVIEW AND SCRUTINY COMMITTEE

# Committee Report

**Public** 

Date of Meeting: 27th July 2006

Title: EMPLOYEE OPINION SURVEY IMPROVEMENT PLAN

Report of: Head of Personnel and Development Services

Report reference: PPP.35/06

#### **Summary:**

An Employee Opinion Survey was carried out in November 2005. The results were very encouraging although the survey feedback also raised many issues, and a number of these were highlighted. An **Improvement Plan** has been developed to target all the issues raised in the Employee Opinion Survey. The next survey will take place in November 2006. There is a need for Members to oversee this important piece of work.

#### **Recommendations:**

- Members to note the improvement plan in Appendix A
- Members to agree to monitor implementation of the improvement plan and receive quarterly update reports

Contact Officer: Jennifer Williams Ext: 7163

Note: in compliance with section 100d of the Local Government (Access to Information) Act 1985 the report has been prepared in part from the following papers: None

#### **Background**

The Councils first Employee Opinion Survey was carried out in November 2005. The results were very encouraging although the survey feedback also raised many issues, and a number of these were highlighted. Results of the survey are available on public folders under 'consultation'.

#### Key issues

- Low response rate
- Communications
- Appraisal
- Awareness of council initiatives including stress, absenteeism, violence and aggression and accidents
- Learning and Development including career development issues
- Feeling valued

An **Improvement Plan** has been developed to target all the issues raised in the Employee Opinion Survey.

The below actions will be taken to *improve the key issues*. Many other issues and actions are included in the Improvement Plan (refer to Appendix A).

- A number of actions will be taken to address the **low response rate** including:
- resolving the distortion of the electronic version of the questionnaire
- investigating the possibility of having a 'save' facility to enable people to complete part of the questionnaire and then come back to it
- sending the questionnaire in addressed envelopes to individuals without PC access and providing pre paid envelopes to return
- making the fact that the questionnaire is anonymous more explicit
- developing a local PI to measure the response rate
- An Internal Communications Review will take place with particular focus on the role and performance of the 'management communication chain'. The review will include Team Improvement Reviews, Management Briefing, a replacement arrangement for what was Corporate Management Team, and team meetings.

- The survey results highlighted that appraisals were not taking place for many of you, and/or were not always effective. This was reflected in the appraisal review that David Williams had carried out last year. Appraisal refresher training will now be provided for appraisers, and briefings have already been held for former CTS employees. The scheme itself has already been overhauled and improved. Jason Gooding will monitor that appraisals are held for everyone each year.
- Awareness of what the Council is doing to reduce staff absence, deal effectively
  with stress, and address violence and aggression from the public will be increased
  through management briefings. For example, the June Management Briefing was
  devoted to sickness absence. The important role that managers who attend play in
  'cascading' information from these briefings down to all our employees will be
  reinforced and monitored.
- The Council has made a commitment in its new Training and Development Policy for each employee to have a minimum of 5 days learning (pro rata for those working part time) per year. Of course people learn in a wide variety of ways so this does not imply 5 days away on training courses. Targets have also been set for employee achievement of qualifications, which will be included in a strategy for Learning City.
- The 'maximising training' module in the Management Development Programme is to be reviewed. **Training Plans** will be developed for each Directorate. A **career development strategy** will be piloted.

#### Communication/Consultation

The plan was reported to the Trade Unions at the Corporate Joint Consultative Committee on 14<sup>th</sup> June 2006, where it was received favourably.

A letter was sent to all employees with the June pay slips informing them of:

- Key issues from the survey
- How these will be addressed by the plan
- Availability of the plan on public folders
- Date of the next survey
- Encouragement to respond to this

The plan will be reported to Joint Management Team (JMT) on 20<sup>th</sup> July 2006.

## **Monitoring the Improvement Plan**

The Senior Management Team and Corporate Joint Consultative Committee (CJC) will monitor the Plan.

We would also like to recommend that Corporate Resources Overview & Scrutiny Committee also oversees implementation of the improvement plan.

## **Next Survey**

The next survey will take place in November 2006.

#### Recommendations:

- Members to note the improvement plan in Appendix A
- Members to agree to monitor implementation of the improvement plan and receive quarterly update reports

# Appendix A Employee Opinion Survey Improvement Plan

Areas of concern/issues in particular former business units are in Italics

### Glossary

CIS	<b>Customer Information Services</b>
CMT	Corporate Management Team

CTS Commercial and Technical Services EEP Employee Enhancement Programme

liP Investors in People

MDP Management Development Programme

PDP Personal Development Plan TIR Team Improvement Plan

**RBS** Revenues and Benefits Services

SMT Senior Management Team

SSDG Strategic Staff Development Group

WDP Workforce Development Plan

Areas of concern/Issue	Action	Lead	Targets (including	Outcomes	Resource allocation	Measures (of outcomes)
			time-			
			scales)			

Areas of concern/Issue		Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation		Measures (of outcomes)
General Actions which will influence many of below actions	1)	Internal communications review – particularly the role and performance of the management communication chain including TIR, Management Briefing, future CMT and team meetings. This review should lead to the development of internal communications strategy	Jason Gooding	July – Dec 06	Improved communications	Jo Osborne David Williams	•	Employee survey liP
	2)	SMT liP workshop to identify which levels the council will aim to achieve within the profile and time-scales for achievement	David Williams	June 2006 workshop 2007 next IiP assessmen	Clarity on liP levels for actions within plan	SMT/David Williams P, P and P budget	•	Achievement of agreed liP profile
	3)	MDP further developed to address specific management skills e.g. appraisal, return to work interviews, team meetings, TIRs	David Williams	t Launch of new programme 2007/08	Specific training delivered to address areas of concern identified by	David Williams Corporate training budget	•	liP Employee Survey results
	4)	Management training (above) to be incorporated into revised probation policy	David Williams	(financial year)  Review probation policy 2006	survey		•	Framework of evaluation for training and development

Areas of concern/Issue		Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation		Measures (of outcomes)
General Actions which will influence many of below actions	5)	Raise manager awareness of framework of evaluation for training and development	David Williams	Nov 06	Managers effectively evaluating training	Corporate training budget	•	Achievement of liP profile indicator level 4 – capabilities managers need to
	6)	Review of management competencies to cover areas of concern e.g. leadership	David Williams	2007/08 financial year	Increase management capacity	David Williams		lead, manage and develop people effectively are clearly defined and understood
	7)	Decision to be made on how the next survey will reflect the new directorates and be comparable with results from the last survey	Jennifer Williams David Williams	Sept 06	Comparable information year on year	Jennifer Williams David Williams	•	Future survey results/analysis

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Response rate varied across council	<ul> <li>8) Resolve distortion of electronic version of questionnaire</li> <li>9) Investigate possibility of 'save' facility when completing questionnaire</li> <li>10) Send questionnaire in addressed envelopes to individuals without PC access and provide pre paid envelopes</li> </ul>	Jennifer Williams	Nov 06 when survey repeated	Increased response rate	Jennifer Williams Linda Potts	Increase in response rate
	<ul><li>11) Make anonymous issue more explicit in introduction to the survey</li><li>12) Develop local PI to measure the response rate</li></ul>					
	13) Benchmarking with other Cumbrian authorities	Jennifer Williams	Nov 06 when survey repeated	Identified small number of common questions	Jennifer Williams	Cumbria wide statistics
	14) Include additional questions to enable us to measure new PIs	Jennifer Williams David Williams		To enable us to measure our performance		Measures in place and published in BVPP June 06

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Additional investigation	15) Chief Executive's Sounding Board lunches with staff	Maggie Mooney	Weekly sessions	eekly Greater understanding of staff's key concerns, possibly with progression of some common themes through task and finish groups/Action Learning Sets		See outcomes
	16) Appropriate outcomes of Chief Executive's Sounding Board lunches with staff incorporated into this plan	Jennifer Williams David Williams	July 06			<ul><li>Employee Opinion Survey</li><li>Improvement plan</li></ul>

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Line managers providing employees with feedback on their performance  Over 1/5 of say rarely or never  CIS - 42%  CTS - 42%  RBS - 39%	<ul> <li>17) Internal communication review</li> <li>18) Appraisal refresher training</li> <li>19) Special appraisal briefings for former CTS</li> <li>20) MDP – specific training on the importance of and how to run effective team meetings</li> </ul>	Jason Gooding David Williams	July – Dec 06 Nov 06 April 06 Nov 06	Improved communications Line managers provide employees with feedback on their performance	Jo Osborne David Williams MDP budget David Williams	<ul> <li>Future survey results</li> <li>liP Indicator 5 – managers are effective in leading, managing and developing people</li> <li>Team meeting training evaluation feedback</li> <li>Full management attendance at appraisal refresher training</li> </ul>
Line Manager supporting employee to achieve their objectives  16% say rarely or never  CIS – 36%  CTS – 25%  RBS – 25%	21) Appraisal refresher training	David Williams	Nov 06	Line Managers support employees to achieve their objectives	MDP budget David Williams	<ul> <li>Future survey results</li> <li>liP Indicator 5 – managers are effective in leading, managing and developing people</li> <li>Full management attendance at appraisal refresher training</li> </ul>

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Employees having the opportunity to comment on issues affecting them  Just over 1/5 only 'sometimes' have opportunity to comment  CIS – 39%	<ul> <li>22) Internal communication review</li> <li>23) Appraisal refresher training</li> <li>24) MDP – specific training on importance and how to run effective team meetings</li> </ul>	Jason Gooding David Williams David Williams	July – Dec 06 Nov 06 Nov 06	Improved communications  Employees feel that they have the opportunity to comment on issues affecting them	Jo Osborne David Williams  Corporate training budget  MDP budget  David Williams	<ul> <li>Future survey results</li> <li>Full management attendance at appraisal refresher training</li> <li>Team meeting training evaluation feedback</li> <li>liP Indicator 5 – managers are effective in leading, managing and developing people</li> </ul>
Employees attending TIR  50% not had a TIR in last 6 months (31% not had one)  CTS – 82% CIS – 69% EPS – 62%	25) Internal communication review  26) MDP – specific training on the importance of and how to run effective TIRs	Jason Gooding David Williams	July – Dec 06 Nov 06	Employees attend TIR or equivalent	Jo Osborne David Williams MDP budget David Williams	<ul> <li>Future survey results</li> <li>TIR training evaluation feedback</li> <li>IiP Indicator 5 – managers are effective in leading, managing and developing people</li> </ul>

			Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Frequency of team meetings (2 <sup>nd</sup> preferred method of communication)  CTS and CIS would like to have more	<ul> <li>27) Internal communication review</li> <li>28) MDP – specific training on the importance of and how to run effective team meetings</li> </ul>	Jason Gooding David Williams	July – Dec 06 Nov 06	Improved communications  Team meetings held when necessary	Jo Osborne David Williams MDP budget David Williams	<ul> <li>Future survey results</li> <li>Team meeting training evaluation feedback</li> <li>liP Indicator 5 – managers are effective in leading, managing and developing people</li> </ul>
Employees who feel their skills and experience are valued  17% of employees do not feel valued  CIS – 42%	<ul> <li>29) Commitment in new Training and Development Policy to 5 days learning per employee (pro-rata) e.g. management briefing attendance</li> <li>30) Targets for employees achievement of qualifications</li> </ul>	David Williams David Williams	June 06  Published in BVPP June 06	Employees feel their skills and experience are valued	Existing corporate budgets	<ul> <li>Future survey results</li> <li>liP Indicator 6 – people's contribution to the organisation is recognised and valued</li> <li>Learning City PIs</li> </ul>

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Employees who have an appraisal  31% have not had an appraisal in last 12 months	<ul><li>31) Appraisal refresher training</li><li>32) Make appraisal briefing mandatory (new employees are booked onto course)</li></ul>	David Williams Linda Mattinson	Nov 06 Nov 06	All employees had an appraisal	Corporate training budget David Williams	<ul> <li>Future survey results</li> <li>Record of appraisals in personnel</li> </ul>
CIS – 77% RBS – 71%	<ul><li>33) Enforce appraisals</li><li>34) Prompt and guide managers during appraisal round</li><li>35) Develop new PI in relation to appraisals</li></ul>	Jason Gooding David Williams David Williams	Jan each year Jan each year Nov 06			<ul> <li>PI on appraisal</li> <li>liP Indicator 5 –         managers are         effective in         leading, managing         and developing         people</li> <li>Full management</li> </ul>
						attendance at appraisal refresher training

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Line manager encouraging employees to develop new skills and enhance career prospects  20% feel that their line manager does not encourage them  Problem most noticeable in RBS, CTS, CIS and MSES	<ul> <li>36) Training – improve maximising training module (MDP D11)</li> <li>37) Introduce directorate training plans (integrated into service planning process)</li> <li>38) Pilot career development strategy</li> </ul>	David Williams	June 06 Jan 07	Line managers encourage employees to develop new skills and enhance career prospects	MDP budget  Directorates budgets  WDP budget  David Williams	<ul> <li>Maximising training evaluation feedback</li> <li>Future survey results</li> <li>IiP Indicator 2 – learning and development is planned to achieve the organisations objectives</li> </ul>

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Training and development opportunities that will benefit employees are brought to their attention  19% say that training and development opportunities are not brought to their attention  CIS – 42%	<ul> <li>39) Introduce directorate Training Plans (integrated into service planning process)</li> <li>40) Formalise role of union learning representative</li> </ul>	David Williams David Williams	June 06  Dec 06	Training and development opportunities that will benefit employees are brought to their attention	Directorate budgets  PWS budget	Future survey results  IiP Indicator 5 – managers are effective in leading, managing and developing people
Discussions take place with line manager before a training/developm ent activity  34% do not discuss  CIS – 65%	41) Training – improve maximising training module (MDP D11)	David Williams	Nov 06	Discussions take place with line managers before a training/develo pment activity	MDP budget  David  Williams	<ul> <li>Maximising training evaluation feedback</li> <li>Future survey results</li> <li>IiP Indicator 5 – managers are effective in leading, managing and developing people</li> </ul>

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Discussion take place with line manager after a training/developm ent activity  32% do not discuss  CIS – 50%  CTS – 48%  CLS – 42%	42) Training – improve maximising training module (MDP D11)	David Williams	Nov 06	Discussion take place with line managers after a training/develo pment activity	MDP budget  David  Williams	<ul> <li>Maximising training evaluation feedback</li> <li>Future survey results</li> <li>IiP Indicator 5 – managers are effective in leading, managing and developing people</li> </ul>
Employees feel that overall training and development has helped them to do their job more effectively  18% do not feel training has helped  CIS – 43%	43) SSDG to identify and distribute case studies of good practice to appropriate employees	David Williams	June 07	Help employees to link training and development to helping them to do their job more effectively	SSDG Union learning rep	<ul> <li>Future survey results</li> <li>IiP Indicator 8 – people learn and develop effectively</li> <li>IiP Indicator 9 – Investment in people improves the performance of the organisation</li> <li>IiP Indicator 8 – people learn and develop effectively</li> </ul>

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Employees have a Personal Development Plan which is reviewed at each appraisal  26% do not have a PDP  CIS – 66% CTS – 57%	44) Enforce appraisal  45) Issue guidance on PDPs and funding of training	Jason Gooding David Williams	Jan each year Jan each year	Employees have a Personal Development Plan which is reviewed at each appraisal	Jason Gooding David Williams	Future survey results      Future recording of personal development plans in new ERBs system (Employment and rewards business system)
Employees feel they have opportunities to develop their career in the council  34% do not feel they have opportunities  EPS – 68% LDS – 60%	46) Training – improve maximising training module (D11)  47) Implement career development strategy	David Williams David Williams	Nov 06  Jan 08	Employees feel they have opportunities to develop their career in the council	MDP budget  David  Williams	<ul> <li>Maximising training evaluation feedback</li> <li>Future survey results</li> </ul>

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Previous business units with particular areas of concern/issues on above (highlighted in italics)	<ul> <li>48) Directors of the previous business units will decide on actions</li> <li>49) Report to SMT on directorates with areas of most concern when improvement plan reported</li> </ul>	SMT	July 06 Oct 06	Focussed attention on areas of council with most concern	Directorates	Future survey results
	50) Directors will communicate what action they plan to take to their employees	SMT	July 06			
Employees (29%) disagree that the council has established a culture where they can contribute their ideas on how things are done	51) To be addressed within further development of MDP to address specific management skills	David Williams	Launch of new programme 07/08 (financial year)	Employees agree that the council has established a culture where they can contribute their ideas on how things are done	Corporate training budget David Williams	<ul> <li>Future survey results</li> <li>Training evaluation feedback</li> </ul>

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Employees (17%) say their line manager gives them recognition for work well done rarely or never	<ul><li>52) Internal communication review</li><li>53) To be addressed within further development of MDP to address specific management skills</li></ul>	Jason Gooding David Williams	July – Dec 06 Nov 06	Improved communications  Employees feel that their line manager gives them recognition for work well done	Jo Osborne David Williams  Corporate training budget  David Williams	Future survey results      liP profile indicator 5 – managers are effective in leading, managing and developing people
A quarter of employees think they are 'sometimes' motivated by their line manager and a quarter think they are 'rarely' or 'never' motivated	54) To be addressed within further development of MDP to address specific management skills	David Williams	Launch of new programme 07/08 (financial year)	Employees feel motivated by their line manager	Corporate training budget David Williams	<ul> <li>Future survey results</li> <li>Training evaluation feedback</li> <li>IiP Indicator 5 – managers are effective in leading, managing and developing people</li> </ul>

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Employees (36%) feel that the council 'sometimes' deals effectively with staff absence	55) Raise awareness of what is being done to try and reduce e.g. management briefing, Member-Officer Forum display June/July 2006	David Williams	Dedicated sickness manageme nt briefing June 06 and cascaded by managers  Other methods will be used following outcomes of internal communica tions review	Employees feel that the council deals effectively with staff absence	Jean Cross Managers	Future survey results

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Employees (52%) are 'not sure' if the council deals effectively with stress	<ul> <li>55) Raise awareness of training and policy e.g. management briefing, Member-Officer Forum display June/July 2006</li> <li>56) Roll-out the stress audit and associated support</li> </ul>	David Williams	Highlighted at June manageme nt briefing and cascaded by managers  Other methods will be used following outcomes of internal communica tions review  Ongoing and Complete 2008	Employees feel that the council deals effectively with stress	David Williams Managers  Arup Majhi Corporate training budget	Future survey results      Comparison with results of 2008 audit to see if actions have made a difference

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
Employees (45%) are unsure that the council deals effectively with violence and aggression from the public	57) Need to raise awareness of few incidents and that are treated seriously e.g. management briefing, Member-Officer Forum display Aug 2006	David Williams	Highlighted at June manageme nt briefing and cascaded by managers  Aug 06  Other methods will be used following outcomes of internal communica tions review	Employees feel that the council deals effectively with violence and aggression from the public	David Williams Arup Majhi Managers	Future survey results
Employees (35%) are unsure if the council deals effectively with accidents at work	<ul> <li>58) Need to raise awareness of few incidents and that will be treated seriously e.g. management briefing, Member-Officer Forum display Aug 2006</li> <li>59) Communicate Workforce Profile within WDP</li> </ul>	David Williams	Highlighted at June manageme nt briefing Aug 06	Employees feel that the council deals effectively with accidents at work	Arup Majhi Managers WDP budget	Future survey results

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
A quarter of employees disagree that they feel their job is secure	60) Review of existing framework for recruitment and retention	Jean Cross	June 07	Reviewed policies	PWS budget	<ul><li>Future survey results</li><li>PWS</li></ul>
Feedback was pro	vided on the below issues which	will be used	to inform poli	су		
Communications	61) Report on LP142 - % staff with internal communications	Jo Osborne	Published in BVPP June 06	LP142 reported	Jo Osborne	Improvement in performance
Flexible working	62) Part of proposed Employee- Wellbeing strategy – review policies and reflect current review of flexible working	David Williams	Feb 07	Flexible working policy	Beverly Graham Sally Warton Arup Majhi	Future survey results
Equal access	63) Incorporate feedback into Corporate Equality Action plan	Jennifer Williams	June 07	Incorporated into ongoing equality work	Jennifer Williams Lesley Dixon	Future survey results
	64) Report on BV2b	Lesley Dixon	Published in BVPP June 06	BV2b reported	Lesley Dixon	• BVPP 2006/07
Customer contact centre	65) Equal access issues in literal report	Michael Battersby				Future survey results

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation	Measures (of outcomes)
	nd monitoring of improvement pla					
Staff communication of employee survey	<ul><li>66) Present results to management briefing</li><li>67) Put report on public folders</li></ul>	David Williams Jennifer Williams	7 <sup>th</sup> Feb 06 8 <sup>th</sup> Feb 06	Survey results and action plan fed back to employees	David Williams Jennifer Williams	Future survey results
	68) Send headline actions to employees		June 06			
	69) Put improvement plan on public folders		June 06			
	70) Directorates with particular areas of concern to communicate planned actions to employees in directorate	SMT	July 06	Planned action communicated to appropriate employees	SMT	
	71) Communication of simple messages e.g. what the council is doing and how this links into employee perceptions – include in internal communications strategy	Jason Gooding		Improved communicatio ns	Jo Osborne David Williams	
Member communication	72) Report improvement plan to JMT	David Williams	July 06	Survey action plan reported	David Williams	Members informed of planned improvements
	73) Report improvement plan to CROS		July 27 <sup>th</sup>	to Members		·

Areas of concern/Issue	Action	Lead	Targets (including time- scales)	Outcomes	Resource allocation		Measures (of outcomes)
Union communication	74) Report improvement plan to CJC	David Williams	14 <sup>th</sup> June 06	Survey results and action plan reported to Unions	David Williams	•	CJC informed of planned improvements
Monitoring of Improvement Plan	75) Report to SMT, CJC and Corporate Resources Overview and Scrutiny	David Williams/ Jennifer Williams	Oct 06	Progress of actions	David Williams Jennifer Williams	•	Employee Survey
	76) Directors to report progress on addressing areas of concern in previous business units	SMT	Oct 06				