

# AGENDA

## AUDIT COMMITTEE

**MONDAY 11 APRIL 2011 AT 10.00 AM**

in the Flensburg Room, Civic Centre, Carlisle

A private preparatory / briefing meeting for Members of the Committee will be held at 9.30 am in the Flensburg Room.

### **Apologies for Absence**

To receive apologies for absence and notification of substitutions

### **Declarations of Interest**

Members are invited to declare any personal interests, and any personal interests which are prejudicial, relating to any item on the agenda at this stage.

### **Public and Press**

To agree that the items of business within Part A of the agenda should be dealt with in public.

### **Minutes**

To approve and sign the Minutes of the meeting held on 14 January 2011.

[Copy Minutes in Minute Book Volume 37(5) / herewith]

## **PART A**

**To be considered when the Public and Press are present**

### **A.1 MINUTES OF RESOURCES OVERVIEW AND SCRUTINY PANEL**

The Minutes of the meetings of the Resources Overview and Scrutiny Panel held on 6 January and 17 February 2011 are submitted for information.  
(Copy Minutes herewith)

### **A.2 RESPONSES FROM THE EXECUTIVE**

To consider the following responses:

- (a) EX.021/11 – Audit Opinion Plan 2010/11
- (b) EX.022/11 – Audit Services Progress Report

(Copy Minute Extracts herewith)

### **A.3 FUTURE OF THE AUDIT COMMISSION**

The District Auditor to provide a verbal update on the future of the Audit Commission.

### **A.4 CERTIFICATION OF CLAIMS AND RETURNS – ANNUAL REPORT**

The Audit Commission to present their Annual Report on Claims and Returns for 2009/10.  
(Copy Report herewith)

### **A.5 INTERIM MEMORANDUM**

The Audit Commission to present their Interim Memorandum.  
(Copy Memorandum to follow)

**A.6 AUDIT COMMITTEE'S ANNUAL REPORT 2010/11**

The Chairman of the Audit Committee to submit a report summarising the work undertaken by the Audit Committee during the past year.  
(Copy Report RD.4/11 to follow)

**A.7 2010/11 FINAL ACCOUNTS PROCESS**

The Assistant Director (Resources) to submit a report providing information on the 2010/11 final accounts process.  
(Copy Report RD.9/11 herewith)

**A.8 ACCOUNTS AND AUDIT REGULATIONS 2011**

The Assistant Director (Resources) to submit a report on the Accounts and Audit Regulations for 2011.  
(Copy Report to follow)

**A.9 STRATEGIC AUDIT PLAN AND AUDIT PLAN FOR 2011/12**

The Assistant Director (Resources) to submit a report providing details of the updated Strategic Audit plan and the proposed Audit Plan for 2011/12.  
(Copy Report RD.5/11 to follow)

**A.10 AUDIT SERVICES PROGRESS REPORT**

The Assistant Director (Resources) to submit a report summarising the work carried out by Audit Services since the previous report to the Committee on 14 January 2011.  
(Copy Report RD.6/11 to follow)

**A.11 ANNUAL GOVERNANCE STATEMENT ACTION PLAN**

The Assistant Director (Resources) to submit a report updating Members on progress made to the Annual Governance Statement Action Plan.  
(Copy Report RD.8/11 herewith)

#### **A.12 TREASURY TRANSACTIONS 2010/11**

The Assistant Director (Resources) to submit a report providing the regular quarterly update on Treasury Management Transactions.  
(Copy Report RD.77/10 herewith)

#### **A.13 CORPORATE RISK MANAGEMENT**

The Strategic Director and Deputy Chief Executive to submit a report updating Members on the Council's Corporate Risk Management arrangements.  
(Copy Report CE.10/11 herewith)

### **PART B**

#### **To be considered in Private**

- NIL -

#### **Members of the Audit Committee:**

**Conservative:** Layden (Vice-Chairman), Lishman, Mallinson E (Chairman)

**Labour:** Bowditch, Hendry, Patrick

**Liberal Democrat:** Farmer N

*Substitutes: Bowman S, Craig, Parsons (Conservative), Boaden, Riddle, Wilson (Labour), Tootle (Liberal Democrat).*

**Enquiries, requests for reports, background papers, etc to Lead Committee Clerk:  
Morag Durham – 817036**

**Notes to Members:**