

AGENDA

Audit Committee

Monday, 14 April 2014 AT 10:00

In the Flensburg Room, Civic Centre, Carlisle, CA3 8QG

Preparatory / Briefing Meeting

A private preparatory / briefing meeting for Members of the Committee will be held at 9.30 am in the Flensburg Room

Apologies for Absence

To receive apologies for absence and notification of substitutions

Declarations of Interest

Members are invited to declare any disclosable pecuniary interests, other registrable interests and any interests, relating to any item on the agenda at this stage

Public and Press

To agree that the items of business within Part A of the agenda should be dealt with in public and that the items of business within Part B of the agenda should be dealt with in private

MINUTES

5 - 20

To approve and sign the Minutes of the meeting held on 24 January 2014.
[Copy Minutes in Minute Book Volume 40(5) / herewith]

PART A

To be considered when the Public and Press are present

A.1	<u>MINUTES OF RESOURCES OVERVIEW AND SCRUTINY PANEL</u>	21 - 28
	The Minutes of the meeting of the Resources Overview and Scrutiny Panel held on 20 February 2014 are submitted for information. (Copy Minutes herewith)	
A.2	<u>CERTIFICATION WORK REPORT 2012/13</u>	29 - 40
	The Director of Grant Thornton to present their annual Certification Work Report. (Copy Report herewith)	
A.3	<u>AUDIT PLAN 2013/14</u>	41 - 54
	The Director of Grant Thornton to submit the Audit Plan for 2013/14 (final confirmation of the grants certification fee will be provided). (Copy Report herewith)	
A.4	<u>AUDIT COMMITTEE UPDATE REPORT</u>	55 - 76
	The Director of Grant Thornton to present their report on progress with the 2013/14 audit, together with a summary of emerging and national developments relevant to local government. (Copy Report herewith)	
A.5	<u>HOUSING BENEFIT SUBSIDY CERTIFICATION WORK PLAN</u>	77 - 82
	The Director of Grant Thornton to submit the Housing Benefit Subsidy Certification Work Plan for Carlisle City Council (final confirmation of the grants certification fee will be provided). (Copy Report herewith)	
A.6	<u>FEE LETTER 2014/15</u>	83 - 86
	The Director of Grant Thornton to submit the Audit fee letter for 2014/15. (Copy Letter herewith)	

A.7	<u>AUDIT COMMITTEE'S ANNUAL REPORT</u>	87 - 102
	The Chairman of the Audit Committee to submit a report summarising the work undertaken by the Audit Committee during the past year. (Copy Report RD.03/14 herewith)	
A.8	<u>INTERNAL AUDIT PLAN 2014/15</u>	103 - 120
	The Director of Resources to submit a report providing details of the internal Audit Plan for 2014/15. (Copy Report RD.02/14 herewith)	
A.9	<u>INTERNAL AUDIT PROGRESS REPORT 2013/14 (NO. 4)</u>	121 - 178
	The Director of Resources to submit a report summarising the work carried out by Internal Audit and detailing the progress made on delivery of the approved 2013/14 Audit Plan. (Copy Report RD.01/14 herewith)	
A.10	<u>INTERNAL AUDIT CHARTER</u>	179 - 194
	Pursuant to Minute AUC.15/14, the Director of Resources to submit a report concerning the Internal Audit Charter. The Executive considered the matter on 10 March 2014. (Copy Report RD.05/14 and Minute Excerpt herewith)	
A.11	<u>TREASURY MANAGEMENT OCTOBER – DECEMBER 2013</u>	195 - 208
	The Director of Resources to submit a report providing the regular quarterly summary of Treasury Management Transactions. (Copy Report RD.84/13 herewith)	
A.12	<u>CORPORATE RISK MANAGEMENT</u>	209 - 218
	The Deputy Chief Executive to submit a report updating Members on the Council's risk management arrangements. (Copy Report SD.10/14 herewith)	

A.13 CODE OF CORPORATE GOVERNANCE - ACTION PLAN

**219 -
224**

The Director of Resources to submit a report updating Members on the Council's governance arrangements and its systems of internal control.
(Copy Report RD.04/14 herewith)

PART B

To be considered when the Public and Press are excluded from the meeting

Members of the Audit Committee

Conservative – Earp, Mrs Mallinson, Nedved, Bowman S (sub), Mrs Geddes (sub), Mrs Parsons (sub)

Labour – Mrs Atkinson, Atkinson P, Bowditch (Vice Chairman), Ms Patrick (Chairman)

Enquiries to:

Lead Committee Clerk - Morag Durham tel: 817036