

AGENDA

AUDIT COMMITTEE

TUESDAY 30 NOVEMBER 2010 AT 10.00 AM

in the Flensburg Room, Civic Centre, Carlisle

A private preparatory / briefing meeting for Members of the Committee will be held at 9.30 am in the Flensburg Room.

Apologies for Absence

To receive apologies for absence and notification of substitutions

Declarations of Interest

Members are invited to declare any personal interests, and any personal interests which are prejudicial, relating to any item on the agenda at this stage.

Public and Press

To agree that the items of business within Part A of the agenda should be dealt with in public and that the items of business within Part B of the agenda should be dealt with in private.

Minutes

To approve and sign the Minutes of the meeting held on 24 September 2010.
[Copy Minutes in Minute Book Volume 37(3) / herewith]

PART A

To be considered when the Public and Press are present

A.1 MINUTES OF RESOURCES OVERVIEW AND SCRUTINY PANEL

The Minutes of the meeting of the Resources Overview and Scrutiny Panel held on 3 November 2010 are submitted for information.

(Copy Minutes herewith)

A.2 REFERENCE FROM THE EXECUTIVE

To consider the following reference:

EX.162/10 – Use of Consultants

(Copy Minute Excerpt herewith)

A.3 AUDIT SERVICES PROGRESS REPORT

The Assistant Director (Resources) to submit a report summarising the work carried out by Audit Services since the previous report to the Committee on 24 September 2010, and monitoring progress against the plan for the period 1 July to 30 September 2010

(Copy Report RD.58/10 herewith)

A.4 ICT SECURITY POLICY AUDIT PROGRESS REPORT

Pursuant to Minute AUC.59/10, the Assistant Director (Resources) to submit a report summarising actions taken to address recommendations previously reported as outstanding to the Audit Committee.

(Copy Report RD.59/10 herewith)

A.5 TREASURY MANAGEMENT JULY - SEPTEMBER 2010 AND FORECASTS FOR 2011/12 TO 2015/16

The Assistant Director (Resources) to submit a report providing the regular quarterly update on Treasury Transactions, together with interim report on Treasury Management and forecasts for 2011/12 to 2015/16.

(Copy Report RD.55/10 herewith)

A.6 CORPORATE RISK MANAGEMENT

The Strategic Director and Deputy Chief Executive to submit a report updating Members on the Council's Corporate Risk Register.
(Copy Report CE.35/10 herewith)

A.7 FUTURE OF THE AUDIT COMMISSION

The District Auditor to provide a verbal update on the future of the Audit Commission.

A.8 ANNUAL AUDIT LETTER 2009/10

The Audit Commission to submit the Annual Audit Letter for 2009/10.
(Copy Letter herewith)

PART B

To be considered in Private

B.1 FRAUD INVESTIGATION – FINAL OUTCOME REPORT

This report is not for publication by virtue of paragraph 7 of Part 1 of Schedule 12A of the Local Government Act 1972, as the report contains exempt information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime

The Assistant Director (Resources) to report on the final outcome of an audit investigation.
(Copy Report RD.52/10 herewith)

Members of the Audit Committee:

Conservative: Layden (Vice-Chairman), Lishman, Mallinson E (Chairman)

Labour: Bowditch, Hendry, Patrick

Liberal Democrat: Farmer N

Substitutes: Bowman S, Craig, Parsons (Conservative), Boaden, Riddle, Wilson (Labour), Tootle (Liberal Democrat).

**Enquiries, requests for reports, background papers, etc to Lead Committee Clerk:
Morag Durham – 817036**

Notes to Members: