

AGENDA

AUDIT COMMITTEE

MONDAY 15 APRIL 2013 AT 10.00 AM

in the Flensburg Room, Civic Centre, Carlisle

A private preparatory / briefing meeting for Members of the Committee will be held at 9.30 am in the Flensburg Room.

Apologies for Absence

To receive apologies for absence and notification of substitutions

Declarations of Interest

Members are invited to declare any disclosable pecuniary interests, other registrable interests and any interests, relating to any item on the agenda at this stage.

Public and Press

To agree that the items of business within Part A of the agenda should be dealt with in public.

Minutes

To approve and sign the Minutes of the meeting held on 11 January 2013.
[Copy Minutes in Minute Book Volume 39(5) / herewith]

PART A

To be considered when the Public and Press are present

A.1 MINUTES OF RESOURCES OVERVIEW AND SCRUTINY PANEL

The Minutes of the meetings of the Resources Overview and Scrutiny Panel held on 3 January and 21 February 2013 are submitted for information.

(Copy Minutes herewith)

A.2 CERTIFICATION WORK REPORT 2011/12

The Director of Grant Thornton to present their annual Certification Work Report.

(Copy Report herewith)

A.3 GRANT THORNTON – AUDIT COMMITTEE UPDATE

The Director of Grant Thornton to present their report on progress on the 2012/13 audit and summary of emerging and national developments relevant to local government.

(Copy Report herewith)

A.4 AUDIT COMMITTEE'S ANNUAL REPORT

The Chairman of the Audit Committee to submit a report summarising the work undertaken by the Audit Committee during the past year.

(Copy Report RD.04/13 herewith)

A.5 STRATEGIC AUDIT PLAN AND AUDIT PLAN FOR 2013/14

The Director of Resources to submit a report providing details of the updated Strategic Audit Plan and the proposed Audit Plan for 2013/14.

(Copy Report RD.06/13 herewith)

A.6 AUDIT SERVICES PROGRESS REPORT 2012-13 (NO. 4)

The Director of Resources to submit a report summarising the work carried out by Audit Services since the previous report to the Committee on 11 January 2013.

(Copy Report RD.05/13 and Minute Extract ROSP.09/13 – Review of Partnerships 2011/12 herewith)

A.7 TREASURY MANAGEMENT OCTOBER – DECEMBER 2012

The Director of Resources to submit a report providing the regular quarterly summary of Treasury Management Transactions.

(Copy Report RD.76/12 and Minute Extract herewith)

PART B

To be considered in Private

- NIL -

Members of the Audit Committee:

Conservative: Bowman M, Mallinson E, Nedved

Labour: Bowditch (Vice-Chairman), Franklin, Patrick (Chairman), Sherriff

Substitutes: Bowman S, Craig, Parsons (Conservative), Boaden, Stothard, Whalen (Labour)

**Enquiries, requests for reports, background papers, etc to Lead Committee Clerk:
Morag Durham – 817036**

Notes to Members: