

AGENDA

AUDIT COMMITTEE

FRIDAY 4 DECEMBER 2009 AT 10.00 AM

in the Flensburg Room, Civic Centre, Carlisle

A private preparatory / briefing meeting for Members of the Committee will be held at 9.30 am in the Flensburg Room.

Apologies for Absence

To receive apologies for absence and notification of substitutions

Declarations of Interest

Members are invited to declare any personal interests, any personal interests which are prejudicial, relating to any item on the agenda at this stage.

Public and Press

To agree that items of business within Part A of the agenda should be dealt with in public.

Minutes

To approve and sign the Minutes of the meeting of the Audit Committee held on 25 September 2009.

[Copy Minutes in Minute Book Volume 36(3) / herewith]

PART A

To be considered when the Public and Press are present

A.1 MINUTES OF RESOURCES OVERVIEW AND SCRUTINY PANEL

The Minutes of the meeting of the Resources Overview and Scrutiny Panel held on 15 October 2009 are submitted for information.

(Copy Minutes herewith)

A.2 REFERENCES FROM THE EXECUTIVE

To consider the following references:

(a) EX.224/09 – Annual Governance Report 2008/09

(b) EX.225/09 – Treasury Transactions

(Copy Minute Excerpts herewith)

A.3 ICT SECURITY POLICY AND ANNEXES

Pursuant to Minute AUC.51/09, the Head of Audit Services to submit a report apprising Members of progress to date on the recommendations contained in the Audit Report relating to the Council's ICT Security Policy and Annexes.

(Copy Report CORP.53/09 herewith)

A.4 TREASURY MANAGEMENT 2009/10 AND 2010/11

The Director of Corporate Services to submit a report providing the regular quarterly report on Treasury Transactions, together with an interim report on Treasury Management as required under the Financial Procedure Rules. The report also discusses Treasury Management forecasts for 2010/11 with projections to 2014/15, and includes information on the requirements of the Prudential Code on local authority capital finance.

(Copy Report CORP.47/09 herewith)

A.5 INTERIM ARRANGEMENTS FOR INTERNAL AUDIT

The Assistant Director (Resources) to report on interim arrangements for Internal Audit.

(Copy Report to follow)

A.6 OPTIONS FOR INTERNAL AUDIT

Pursuant to Minute AUC.53/09, the Assistant Director (Resources) will give a verbal update on the options currently being investigated.

PART B **To be considered in Private**

- NIL -

Members of the Audit Committee:

Conservative: Layden, Lishman (Vice-Chairman), Mallinson E (Chairman)

Labour: Boaden, Hendry, Patrick

Liberal Democrat: Farmer P

Substitutes: Knapton, Clarke M, Parsons (Conservative), Cape, Riddle, Stothard (Labour), Tootle (Liberal Democrat).

**Enquiries, requests for reports, background papers, etc to Committee Clerk:
Morag Durham – 817036**

Notes to Members: