

# AGENDA

## Audit Committee

Monday, 15 April 2013 AT 10:00

In the Flensburg Room, Civic Centre, Carlisle, CA3 8QG

A private preparatory / briefing meeting for Members of the Committee will be held at 9.30 am in the Flensburg Room.

### Apologies for Absence

To receive apologies for absence and notification of substitutions

### Declarations of Interest

Members are invited to declare any disclosable pecuniary interests, other registrable interests and any interests, relating to any item on the agenda at this stage.

### Public and Press

To agree that the items of business within Part A of the agenda should be dealt with in public.

### MINUTES

5 - 20

To approve and sign the Minutes of the meeting held on 11 January 2013  
(Copy Minutes in Minute Book Volume 39(5) / herewith)

**PART A**

## To be considered when the Public and Press are present

- A.1 MINUTES OF RESOURCES OVERVIEW AND SCRUTINY PANEL** **21 -  
38**
- The Minutes of the meetings of the Resources Overview and Scrutiny Panel held on 3 January and 21 February 2013 are submitted for information.  
(Copy Minutes herewith)
- A.2 CERTIFICATION WORK REPORT 2011-12** **39 -  
48**
- The Director of Grant Thornton to present their annual Certification Work Report.  
(Copy Report herewith)
- A.3 GRANT THORNTON - AUDIT COMMITTEE UPDATE** **49 -  
60**
- The Director of Grant Thornton to present their report on progress on the 2012/13 audit and summary of emerging and national developments relevant to local government.  
(Copy Report herewith)
- A.4 AUDIT COMMITTEE'S ANNUAL REPORT** **61 -  
76**
- The Chairman of the Audit Committee to submit a report summarising the work undertaken by the Audit Committee during the past year.  
(Copy Report RD.04/13 herewith)
- A.5 STRATEGIC AUDIT PLAN AND AUDIT PLAN FOR 2013-14** **77 -  
86**
- The Director of Resources to submit a report providing details of the updated Strategic Audit Plan and the proposed Audit Plan for 2013/14.  
(Copy Report RD.06/13 herewith)
- A.6 AUDIT SERVICES PROGRESS REPORT 2012-13 (No. 4)** **87 -  
202**
- The Director of Resources to submit a report summarising the work carried out by Audit Services since the previous report to the Committee on 11 January 2013.  
(Copy Report RD.05/13 and Minute Extract ROSP.09/13 - Review of Partnerships 2011/12 herewith)

**A.7 TREASURY MANAGEMENT OCTOBER - DECEMBER 2012**

**203 -  
218**

The Director of Resources to submit a report providing the regular quarterly summary of Treasury Management Transactions.

(Copy Report RD.76/12 and Minute Extract herewith)

**PART B**

**To be considered when the Public and Press are excluded from the meeting**

**Enquiries, requests for reports, background papers, etc to Lead Committee  
Clerk: Morag Durham – 817036**