

AGENDA

AUDIT COMMITTEE

FRIDAY 24 SEPTEMBER 2010 AT 10.00 AM

in the Flensburg Room, Civic Centre, Carlisle

A private preparatory / briefing meeting for Members of the Committee will be held at 9.30 am in the Flensburg Room.

Apologies for Absence

To receive apologies for absence and notification of substitutions

Declarations of Interest

Members are invited to declare any personal interests, and any personal interests which are prejudicial, relating to any item on the agenda at this stage.

Public and Press

To agree that the items of business within Part A of the agenda should be dealt with in public and that the items of business within Part B of the agenda should be dealt with in private.

Minutes

To approve and sign the Minutes of the meeting held on 22 June 2010.
[Copy Minutes in Minute Book Volume 37(2) / herewith]

PART A

To be considered when the Public and Press are present

A.1 MINUTES OF RESOURCES OVERVIEW AND SCRUTINY PANEL

The Minutes of the meetings of the Resources Overview and Scrutiny Panel held on 17 June, 29 July and 2 September 2010 are submitted for information.
(Copy Minutes herewith)

A.2 RESPONSE FROM THE EXECUTIVE

To consider the following response:

EX.126/10 – Provisional Capital Outturn 2009/10 and Revised Capital Programme 2010/11
(Copy Minute Excerpt herewith)

A.3 ANNUAL GOVERNANCE REPORT 2009/10

To consider and comment upon the Audit Commission's Annual Governance Report for 2009/10.
(Copy Annual Governance Report herewith)

A.4 LETTER OF REPRESENTATION 2009/10

The Assistant Director (Resources) to submit a report attaching the Letter of Representation for 2009/10 for approval by the Committee.
(Copy Report RD.42/10 herewith)

A.5 FUTURE OF THE AUDIT COMMISSION

The Audit Commission to provide a verbal update on the future of the Commission.
(Copy Announcement herewith)

A.6 REVIEW OF THE CONTRACT PROCEDURE RULES

The Assistant Directors (Resources and Governance) to submit a joint report recommending a revision of the Council's Contract Procedure Rules.
(Copy Report RD.39/10 herewith)

A.7 AUDIT SERVICES PROGRESS REPORT

The Assistant Director (Resources) to submit a report summarising the work carried out by Audit Services since the previous report to Committee on 22 June 2010; monitoring progress against the plan for the period 1 April to 30 June 2010; and offering a summary report for consideration by the Committee.
(Copy Report RD.40/10 herewith)

A.8 ANNUAL GOVERNANCE STATEMENT ACTION PLAN

The Assistant Director (Resources) to submit a report appraising Members of progress made on the Annual Governance Statement Action Plan.
(Copy Report RD.41/10 herewith)

A.9 TREASURY TRANSACTIONS 2010/11 – QUARTER 1

The Assistant Director (Resources) to submit a report providing the regular quarterly report on Treasury Transactions, including the requirements of the Prudential Code.
(Copy Report RD.34/10 herewith)

A.10 CORPORATE RISK MANAGEMENT

The Strategic Director and Deputy Chief Executive to submit a report updating Members on the Council's Corporate Risk Register.
(Copy Report CE.28/10 herewith)

A.11 INFORMATION STRATEGY

The Policy and Performance Manager to submit a report updating Members on progress made on the actions identified as the responsibility of Policy and Performance in the 2008/09 ICT Audit Report.

(Copy Report PP.39/10 herewith)

PART B

To be considered in Private

B.1 FRAUD INVESTIGATION REPORT

This report is not for publication by virtue of paragraph 7 of Part 1 of Schedule 12A of the Local Government Act 1972, as the report contains exempt information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime

The Assistant Director (Resources) to submit a report appraising Members of the outcome of an audit investigation.
(Copy Report RD.38/10 herewith)

Members of the Audit Committee:

Conservative: Layden (Vice-Chairman), Lishman, Mallinson E (Chairman)

Labour: Bowditch, Hendry, Patrick

Liberal Democrat: Farmer N

Substitutes: Bowman S, Craig, Parsons (Conservative), Boaden, Riddle, Wilson (Labour), Tootle (Liberal Democrat).

**Enquiries, requests for reports, background papers, etc to Lead Committee Clerk:
Morag Durham – 817036**

Notes to Members: