

AGENDA

Audit Committee

Wednesday, 26 September 2018 AT 10:00
In the Flensburg Room, Civic Centre, Carlisle, CA3 8QG

A training session for Members of the Committee on financial reporting and monitoring, and budget setting process will commence at 9.15 am in the Flensburg Room

Apologies for Absence

To receive apologies for absence and notification of substitutions.

Declarations of Interest

Members are invited to declare any disclosable pecuniary interests, other registrable interests and any interests, relating to any items on the agenda at this stage.

Public and Press

To agree that the items of business within Part A of the agenda should be dealt with in public and that the items of business within Part B of the agenda should be dealt with in private.

Minutes

5 - 24

To note that Council, on 11 September 2018, received and adopted the Minutes of the meetings held on 9 and 30 July 2018.

The Minutes will be signed by the Chairman.

[Copy Minutes in Minute Book Volume 45(2) / herewith]

PART A

To be considered when the Public and Press are present

A.1 MINUTES OF BUSINESS AND TRANSFORMATION SCRUTINY PANEL 25 - 42

The Minutes of the meetings of the Business and Transformation Scrutiny Panel held on 26 July and 6 September 2018 are submitted for information.

(Copy Minutes herewith)

A.2 EXTERNAL AUDIT PROGRESS REPORT AND SECTOR UPDATE 43 - 56

Grant Thornton to present their progress and sector update report - September 2018.

(Copy Report herewith)

A.3 ANNUAL AUDIT LETTER 2017/18 57 - 72

To receive Grant Thornton's Annual Audit Letter for the year ending 31 March 2018.

(Copy Letter herewith)

A.4 INTERNAL AUDIT PROGRESS QUARTER 2 - 2018/19 73 - 92

The Chief Finance Officer to submit a report providing an overview of the work carried out by Internal Audit to the second quarter of 2018/19, together with information on progress against the agreed audit plan, performance indicators and previous audit recommendations.

(Copy Report RD.21/18 herewith)

The undernoted Final Audit Reports are submitted for consideration:

Audit of Smarter Service Delivery 93 - 106

Audit of Noise Pollution Complaints (Environmental Health) 107 -
118

Audit of Sands Centre Redevelopment (Governance Arrangements) 119 -
126

Audit Follow Up of The Old Fire Station 127 -
158

(Copy Reports herewith)

A.5 TREASURY MANAGEMENT: APRIL TO JUNE 2018 159 -
174

The Chief Finance Officer to submit a report providing the regular quarterly summary of Treasury Management Transactions. The Executive considered the matter on 20 August 2018.

(Copy Report RD.20/18 and Minute Excerpt herewith)

PART B

To be considered when the Public and Press are excluded from the meeting

- NIL -

Members of the Audit Committee

Conservative - Bainbridge, Higgs, Mrs Mallinson (Vice-Chairman), Christian (sub), Earp (sub), Layden (sub)

Labour – Carrigan, Patrick, S Sidgwick, Coleman (sub), Mrs Ellis-Williams (sub),

Independent - Bomford (Chairman), Tinnion (sub)

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