



# REPORT TO EXECUTIVE

## PORTFOLIO AREA: FINANCE

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Date of Meeting: 18 January 2010

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Public

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Key Decision: Yes

Recorded in Forward Plan:

Yes

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Inside Policy Framework

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**Title:** PROVISIONAL CAPITAL PROGRAMME 2010/11 TO 2014/15  
**Report of:** ASSISTANT DIRECTOR (RESOURCES)  
**Report reference:** RD63/09

### Summary:

The report provides an update to CORP55/09, which was considered by the Executive on 17 December and has been updated to reflect the Executive's budget proposals together with any other known changes. It details the revised capital programme for 2009/10 together with the proposed method of financing and summarises the proposed programme for 2010/11 to 2014/15 in the light of the capital bids submitted to date for consideration, and summarises the estimated capital resources available to fund the programme.

### Recommendations:

The Executive is asked to:

- (i) Make recommendations on the revised capital programme and relevant financing for 2009/10 and the provisional Capital Programme from 2010/11 to 2014/15 in the light of the capital bids submitted to date, together with the estimated available resources, for recommendation to Council on 2 February including carry forwards of £4,787,200 and £1,049,800 to be returned to reserves.
- (ii) Recommend that any capital scheme approved by Council may only proceed after a full report, including business case and financial appraisal, has been approved by the Executive, following detailed consideration by the Corporate Projects Board.

**Contact Officer:** Steven Tickner

**Ext:** 7280

## CITY OF CARLISLE

To: The Executive  
18 January 2010

RD63/09

### PROVISIONAL CAPITAL PROGRAMME 2009/10 TO 2014/15

## **1. INTRODUCTION**

- 1.1 This report provides an update to CORP55/09 which was considered by the Executive on 17 December and summarises the revised capital programme for 2009/10 and proposed capital programme for 2010/11 to 2014/15, together with the proposed methods of financing. A robust review of the 2009/10 capital programme has been carried out to identify potential slippage into future years and potential savings that can be returned to capital reserves, the findings of which are incorporated into this report.
- 1.2 The report has been updated to reflect the Executive's budget proposals for consultation purposes.
- 1.3 It should be noted that the Council is still awaiting Government Grant allocations in respect of Regional Housing Pot and Disabled Facilities Grants and therefore the projections at this stage are estimates.
- 1.4 The Corporate Projects Board (CPB) of senior officers which took the lead on the prioritisation of investment and the monitoring and evaluation of schemes, is being reviewed as part of the transformation programme. Any changes introduced will maintain the terms of reference of the CPB of improving performance monitoring and business case analysis of capital projects.

## **2. CAPITAL RESOURCES**

- 2.1 There are several sources of capital resources available to the Council to fund capital expenditure, the main ones being:
  - Borrowing (Prudential Code - see paragraph 6.2)
  - Capital Grants e.g. Lottery Commission, Sports England, DFG, RHP
  - Capital Receipts e.g. proceeds from the sale of assets
  - Council Reserves e.g. Projects Reserve
- 2.2 In accordance with the Capital Strategy, the Assistant Director (Resources) will make recommendations on the most effective way of financing the Capital Programme to optimise the overall use of resources.

- 2.3 It should be noted that capital resources can only be used to fund capital expenditure and cannot (with the exception of the Council's own Reserves), be used to fund revenue expenditure. There are strict definitions of what constitutes capital expenditure.
- 2.4 It should also be noted that the resources available to support the capital programme can only be estimated during the year. The final position is dependent in particular on how successful the Council has been in achieving Capital Receipts from the sale of assets against its target i.e. the more capital receipts generated, the less is required to be taken from Borrowing and Council Reserves (and vice versa).
- 2.5 The cost of borrowing £1m to fund the capital programme will result in a charge to the revenue account in the next full year of approximately £55,000. This is made up of £15,000 for the cost of the interest payable (1.50% of £1m equates to £15,000) and a principal repayment provision of 4% of the outstanding sum (4% of £1m equates to £40,000).

### **3. REVISED CAPITAL PROGRAMME 2009/10**

- 3.1 The capital programme for 2009/10 totalling £12,900,500 was approved by the Council on 29 June 2009 as detailed in the 2008/09 out-turn report (CORP15/09).
- 3.2 An application has been submitted in December 2009 for a capitalisation direction to capitalise the one-off costs of the Transformation programme incurred in 2009/10. If this is granted, capital resources would be required to fund this expenditure, but there would be a corresponding saving in the revenue account. Any decision on the success of the application will not be known until late January 2010. A report will be reported to a future Executive meeting, as the detail becomes clearer.
- 3.3 The revised capital programme for 2009/10 now totals £9,654,900 as detailed in **Appendix A**, whilst **Appendix B** details the anticipated use of capital resources. **Appendix C** details the review of the capital programme and the proposed level of carry forwards and potential savings identified. A summary of the revised programme for 2009/10 is shown below:

Summary Programme	£	Appx
<b>2009/10 Original Capital Programme</b>	12,900,500	<b>A</b>
Other adjustments	2,591,400	<b>A</b>
Carry forwards to 2010/11 (see 3.4)	(4,787,200)	<b>C</b>
Identified savings to be returned to reserves (3.4)	(1,049,800)	<b>C</b>
<b>2009/10 Revised Capital Programme</b>	<b>9,654,900</b>	<b>A</b>
Estimated Capital Resources available	(17,949,163)	<b>B</b>
<b>Projected (Surplus) capital resources</b>	<b>(8,294,263)</b>	

- 3.4 An in depth review of the 2009/10 capital programme has now been carried out to identify potential slippage into future years and potential savings that can be returned to capital reserves. Total carry forwards into 2010/11 have been identified totalling £4,787,200. £1,049,800 has been identified as potential savings from 2009/10 and £1,100,000 has been identified as potential savings from schemes in 2010/11 to 2013/14. These are detailed in **Appendix C**.
- 3.5 It is anticipated that there will be a shortfall on anticipated capital receipts generated during 2009/10, now estimated at a net figure of £150,000 under the current MTFP projections. This is primarily in respect of the PRTB agreement with Riverside Group.
- 4. NEW CAPITALSPENDING PROPOSALS 2010/11 TO 2014/15**
- 4.1 During previous budget cycles it was agreed to keep new capital schemes to a minimum to allow capacity resources to be directed to complete existing schemes. It also assisted the revenue position due to increased investment income. This policy has continued into the 2010/11 budget cycle.
- 4.2 The new capital spending proposals are summarised in the following table:

Capital Scheme	App/ Para	2010/11 £000	2011/12 £000	2012/13 £000	2013/14 £000	2014/15 £000
<b><u>Current Commitments:</u></b>						
Carry Forward from 2008/09		0	30	0	0	0
Disabled Facilities Grants	4.5	1,249	1,249	1,249	1,249	849
Planned Enhancements to Council Property	4.4	300	300	300	300	300
Industrial Estates	4.4	200	200	200	200	200
Vehicles & Plant	4.6	315	1,048	327	260	0
IT Equipment	4.7	362	299	264	378	0
Desktop replacement	4.7	108	108	108	108	108
ICT Shared Service	4.7	(12)	(162)	(183)	(293)	0
Housing Strategy	4.4	900	900	900	900	0
Play Areas	4.4	50	50	50	50	50
Environmental Enhancements		160	0	0	0	0
CCTV	4.4	35	20	50	50	0
<b>Total Existing Commitments</b>		<b>3,667</b>	<b>4,042</b>	<b>3,265</b>	<b>3,202</b>	<b>1,507</b>
<b><u>New Spending Proposals</u></b>						
Hostel Replacement	4.8	200	1,560	40	0	0
Old Town hall	4.9	820	0	0	0	0
Roman Gateway	4.9	2,060	0	0	0	0
Sands Development	4.10					
Caldew Riverside	5.2					
Carry forwards from 2009/10	4.11	4,787	0	0	0	0
Savings identified	4.11	(200)	(250)	(200)	(250)	(200)
<b>Total New Proposals</b>		<b>7,667</b>	<b>1,310</b>	<b>(160)</b>	<b>(250)</b>	<b>(200)</b>
<b>TOTAL POTENTIAL PROGRAMME</b>		<b>11,334</b>	<b>5,352</b>	<b>3,105</b>	<b>2,952</b>	<b>1,307</b>

- 4.3 Many of the proposals require further appraisal and strengthened Business Cases, which have not yet been considered by the Projects Board. Therefore should they be approved for inclusion in the Council's Capital Programme as part of this budget process, the release of any budget would be subject to verification of the business case by the Corporate Projects Board and a report to the Executive as appropriate.
- 4.4 Details of the proposals for spend in these committed areas will be subject to a full report and Business Case to the Corporate Projects Board before the release of any budget.
- 4.5 The Private Sector Housing Investment budget is to cover Disabled Adaptations Grants, Renovations Grants and Minor Works Grants.

- 4.6 The anticipated budgets for the replacement of the Council's vehicle fleet are included in the above table.
- 4.7 The IT replacement budget shows the additional amount in excess of the current MTFP required to bring the budget in line with the Allerdale Shared Services Business Case and also shows the potential savings that would accrue.
- 4.8 Separate reports have been considered by the Executive with regard to the replacement Women's Hostel and further reports will be required to outline the detail of the scheme.
- 4.9 The Old Town Hall and Roman Gateway projects are fully funded schemes and the Council resources required are already earmarked. Further reports will need to be considered as to the details of the individual schemes.
- 4.10 Reports have been considered previously by the Executive to agree detailed project planning of the Sands Development. A detailed business case will be produced that will identify costs and savings and once approved will be added to the Capital programme together with all the funding streams identified.
- 4.11 **Appendix C** details the level of carry forwards identified from 2009/10, and the potential savings to be returned to capital reserves.

## **5.1 FUTURE COMMITMENTS**

- 5.2 In addition to the spending proposals in the above table there are also potential capital implications arising from the following issues which will be reported on fully as details become available

- Sands Development
- Caldew Riverside

- 5.3 An earmarked reserve has been established for the Asset Investment Fund, which totals £2m.

## **6. POTENTIAL CAPITAL RESOURCES AVAILABLE**

- 6.1 The table below sets out the estimated resources available to finance capital programme for 2010/11 to 2014/15.

Source of Funding	Para	2010/11 £000	2011/12 £000	2012/13 £000	2013/14 £000	2014/15 £000
<b>Capital Grants:</b>						
• Regional Housing Pot	6.3	(1,122)	(1,122)	(1,122)	(1,122)	(1,122)
• Disabled Facilities Grant	6.4	(663)	(663)	(663)	(663)	(663)
• General Grants	6.5	(4,915)	0	0	0	0
Earmarked Reserves/Provisions		(76)	(30)			
<b>Capital Receipts:</b>						
• Generated in year – General	6.6	(1,200)	(1,000)	(1,000)	(1,000)	(1,000)
• Generated in year – PRTB	6.7	(400)	(488)	(449)	(445)	(427)
<b>TOTAL</b>		<b>(8,376)</b>	<b>(3,303)</b>	<b>(3,234)</b>	<b>(3,230)</b>	<b>(3,212)</b>

- 6.2 A new system of capital finance (Prudential Code) was introduced on 1 April 2004, which gives authorities freedom to borrow to fund capital schemes subject to the over-riding principles of Affordability, Prudence and Sustainability. Whilst the new freedoms could significantly impact on the capital resources available to the Authority, the principles referred to in effect mean that the Council is limited by the ongoing cost of any borrowing (i.e. the cost of prudential borrowing falls to be met from the General Fund recurring expenditure). The Prudential Code requires authorities to develop their own programmes for investment in fixed assets, based upon what the authority and local taxpayers can afford, and subject to a full Business Case and Options appraisal process. Further details on the Code can be found elsewhere on the agenda in the Treasury Management and Investment Strategy Report (RD71/09).

The Council currently has no need to take on any prudential borrowing. However, the projections of capital receipts has started to reduce considerably and at this stage, the use of prudential borrowing to fund the capital programme may need to be considered especially in light of the major capital schemes being proposed, e.g. Sands Development, if further capital receipts cannot be generated.

- 6.3 The old system of capital funding of supported borrowing has now been removed from the Revenue Support Grant allocation and has been replaced by a separate capital grant funded from the Regional Housing Pot. This is estimated at £1.122m for future years, although the actual allocation will not be received until January. Early indications are that the level of grant may decrease in future years but as yet no details have been released.
- 6.4 Disabled facilities grant allocation will not be announced until January 2010, and therefore the projection is based on current allocations. A further report will be presented to the Executive in January 2010 once the 2010/11 allocation has been received.

- 6.5 Grant applications are in the process of being submitted for the funding of the schemes for the Old Town Hall and Roman Gateway and Council funding has already been allocated to these schemes from LABGI funds. Grants approved for the Centre of Excellence and Sub Regional Employment Sites are expected to slip into 2010/11.
- 6.6 Capital receipts from the sale of fixed assets, including the sale of the Council's interest in land on the Raffles estate and other specific asset disposals. This also includes an anticipated receipt from the sale of the London Road hostel if a new hostel is approved to be built.
- 6.7 The Preserved Right to Buy (PRTB) sharing arrangement with Riverside Group is for a fifteen year period with the Council being entitled to a pre-agreed reducing percentage of the receipts.

## 7. SUMMARY PROVISIONAL CAPITAL PROGRAMME 2010/11 TO 2014/15

A summary of the estimated resources compared to the proposed programme year on year is set out below:

	2010/11 £000	2011/12 £000	2012/13 £000	2013/14 £000	2014/15 £000
Estimated in year Resources available (para 6.1)	(8,376)	(3,303)	(3,234)	(3,230)	(3,212)
Proposed Programme (para 4.2)	11,334	5,352	3,105	2,952	1,307
<b>Projected (Surplus)/Deficit</b>	<b>2,958</b>	<b>2,049</b>	<b>(129)</b>	<b>(278)</b>	<b>(1,905)</b>
Cumulative B/Fwd Balance	<b>(8,294)</b>	<b>(5,336)</b>	<b>(3,287)</b>	<b>(3,416)</b>	<b>(3,694)</b>
Cumulative year end Position					
• Capital Receipts	<b>(5,336)</b>	<b>(3,287)</b>	<b>(3,416)</b>	<b>(3,694)</b>	<b>(5,599)</b>

## 8. CONSULTATION

- 8.1 As part of the budget consultation process the proposed Capital Programme has been considered by the Resources Overview and Scrutiny Panel, Trade Unions, Large Employers Affinity Group and Non-Domestic Rate payers.
- 8.2 The financial implications arising from this report will form a part of the Executive's budget proposals, which will be considered by full Council on 2 February.



## **9. RECOMMENDATIONS**

The Executive is asked to:

- (i) Make recommendations on the revised capital programme and relevant financing for 2009/10 and the provisional Capital Programme from 2010/11 to 2014/15 in the light of the capital bids submitted to date, together with the estimated available resources, for recommendation to Council on 2 February including carry forwards of £4,787,200 and £1,049,800 to be returned to reserves.
- (ii) Recommend that any capital scheme approved by Council may only proceed after a full report, including business case and financial appraisal, has been approved by the Executive, following detailed consideration by the Senior Management Team.

## **10. IMPLICATIONS**

- Staffing/Resources – as detailed on the individual appraisal forms
- Financial – included within the report
- Legal – none
- Corporate – SMT and SPG have considered the new spending proposals contained within this report.
- Risk Management – as detailed on the individual appraisal forms
- Equality Issues – none
- Environmental – as detailed on the individual appraisal forms
- Crime and Disorder – as detailed on the individual appraisal forms

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**REVISED CAPITAL PROGRAMME 2009/10**
**APPENDIX A**

<b>Scheme</b>	<b>2009/10 Original July £</b>	<b>2009/10 Revised Nov 2009 £</b>	<b>2009/10 Revised Jan 2010 £</b>	<b>Notes</b>
Customer Contact Centre	149,600	149,600	0	3/4
Greystone Community Centre	21,000	21,000	21,000	
Chances Park	40,000	793,800	793,800	2
Willowholme Depot	15,000	15,000	0	4
Environmental Enhancements	218,500	208,500	200,500	2/3
Hostel Replacement/Centre of Excellence	3,865,600	3,175,600	94,600	1/3
Housing Strategy	160,000	160,000	120,000	3
Planned Enhancements to Council Property	307,600	302,500	243,800	2/3
Willowholme Industrial Estate	139,000	139,000	4,000	3
Kingstown Industrial Estate	554,000	554,000	554,000	
Durranhill Industrial Estate	7,400	7,400	3,900	4
Play Area Developments	65,100	171,800	171,800	2
Vehicles, Plant & Equipment	1,943,700	1,957,600	1,891,600	2/3
IT Equipment	318,000	318,000	253,400	3/4
CCTV	70,000	70,000	35,000	3
Carbon Trust Initiative	163,500	163,500	163,500	
Old Town Hall - Strategic TIC	150,000	150,000	0	3
Trinity Church MUGA	0	80,400	90,400	2
Sub Regional Employment Sites	0	1,796,000	1,540,000	2/3
Connect 2 Cycleway	0	60,000	60,000	2
Disabled Facilities Grants	1,506,000	1,984,000	1,367,000	2/4
Gateway - General Expenses	29,700	29,700	29,700	
GIS	14,000	14,000	14,000	
Millennium Gallery / Roman Gateway	60,000	60,000	3,000	3
Ghyll Bank Gypsy & Traveller Site	1,473,300	1,473,300	1,473,300	
Lowry Hill Park	90,000	90,000	14,300	3
REAL/CTS System	53,100	53,100	53,100	
City Wi Fi	13,300	13,300	9,400	4
Raffles MUGA	101,300	83,900	83,900	2
Heysham Park Play Area	49,700	49,700	49,700	
Petteril Riverbank Protection Work	34,300	34,300	34,300	
Hammonds CCTV	22,200	22,200	21,000	4
Caldew/City Centre Flood Defence	20,500	20,500	20,500	
Historic Quarter	773,100	773,100	53,300	3
Kingmoor Nature Reserve	20,500	20,500	20,500	
Renaissance Improvements	36,100	36,100	26,100	
Eden Bridge Garden Restoration	0	20,000	20,000	2
ODPM Private Sector Renewal	25,000	25,000	25,000	
Sheepmount Development	45,400	45,400	45,400	
Housing Foyer	300,000	300,000	0	4
Belah Community Centre	0	5,100	5,100	2
Document Image Processing	45,000	45,000	45,000	
<b>TOTAL</b>	<b>12,900,500</b>	<b>15,491,900</b>	<b>9,654,900</b>	

**Notes:**

1. Women's Hostel replacement scheme is subject to review. Council agreed at its meeting on 10 November to return £690,000 to capital reserves for the Centre of Excellence scheme that will be earmarked for future housing projects.
2. Other variations relate to virements between schemes or additional contributions received as set out in previous Executive reports.
3. Carry forwards of £4,787,200 have been identified as detailed in paragraph 3.4.
4. Savings of £1,049,800 have been identified as detailed in paragraph 3.4.

**REVISED CAPITAL PROGRAMME 2009/10 – PROPOSED FINANCING**

Source of funding	2009/10 Original £	2009/10 Revised £	Notes
<b>Capital Grants:</b>			
• RHP	1,122,000	1,600,000	1
• DFG	663,000	663,000	
• Sub Regional Employment Sites	0	1,540,000	2
• Hostel Replacement	0	72,500	2
• Chances Park	0	727,700	2
• General	37,300	156,700	3
• Slippage from 2008/09	0	1,428,000	3
<b>Capital Receipts:</b>			
• B/fwd from previous year	8,520,487	10,544,363	4
• PRTB receipts	400,000	250,000	5
• Generated in year	850,000	850,000	6
<b>Capital Contributions</b>			
• General	62,300	116,900	7
<b>TOTAL FINANCE AVAILABLE</b>	<b>11,655,087</b>	<b>17,949,163</b>	
<b>TOTAL PROGRAMME (SEE APP A)</b>	<b>12,900,500</b>	<b>9,654,900</b>	
<b>PROJECTED SURPLUS CAPITAL RESOURCES AVAILABLE</b>	<b>(1,245,413)</b>	<b>8,294,263</b>	

**Notes:**

1. Additional Regional Housing Pot grant has been used to fund Disabled Facilities grant.
2. These relate to additional external funding received during the year.
3. These relate to grants and contributions received in 2008/09 for which the scheme was uncompleted and which are required in 2009/10 and were carried forward as capital grants unapplied at the year end.
4. The capital receipts balance at the end of 2008/09 was greater than anticipated.
5. There is anticipated to be a shortfall in PRTB receipts for the year due to the decline in the housing market and the reduced number of anticipated sales in the year. The revised projection for 2009/10 is that few sales will occur realising a receipt of £250,000.
6. For 2009/10, receipts from the Lovells agreement are anticipated to be received and general capital receipts are also expected to be achieved
7. Changes to contributions relate to Vehicle and Plant (£13,900), Eden Bridge Garden Restoration (£20,000) and Trinity MUGA (£63,000) and Chances Park (£20,000).

Scheme	Revised Capital Programme 2009/10 £	Expenditure to end of Nov 2009 £	Further Expenditure expected to end March £	Budget Unspent at Year End £	OPTIONS		Comments	Current Capital Prog 2010/11 £	Capital Prog 2011/12 £	Capital Prog 2012/13 £	Capital Prog 2013/14 £	Capital Prog 2014/15 £	Potential Savings 2010/11 - 2014/15 £
					Carry Forward Identified £	Potential Savings							
<b>Housing Schemes</b>													
Private Sector Grants - Council Funding/RHP £1,021,000 - External Funding £663,000	1,664,000	391,089	655,911	617,000	0	(617,000)	60/40 split now removed. No specific requirement to match external funding. We have continued to match fund at previous levels. LA's are expected to continue to prioritise this important area. Outstanding commitment at end Oct was £482,000 and budget expected to be fully committed by year end. Can the budget unspent at year end be stripped out and the budget in 10/11 be used to fund further commitments?	1,249,000	1,249,000	1,249,000	1,249,000	849,000	0
Housing Strategy	0	0	0	0	0	0	Regional Housing Pot is allocated by the DCLG, and although it is a Housing Capital Allocation, the determination states that it should be used to provide support to local authorities in England towards the financing of capital expenditure lawfully incurred, or to be incurred, by them. Would need to review the impact on future allocations if not spent on housing activities. Potential virement in future years to finance the Hostel Replacement scheme if approved as recommended in original draft Hostel Replacement report.	900,000	900,000	900,000	900,000	0	0
Housing Strategy - DFG's	300,000	282,439	17,561	0	0	0	Expected to be fully spent by year end.	0	0	0	0	0	0
Minor Works Grants	20,000	1,343	18,657	0	0	0	Expected to be fully spent by year end.	0	0	0	0	0	0
Housing Strategy - Housing Provision	60,000	60,000	0	0	0	0	Fully expended.	0	0	0	0	0	0
Housing Strategy - Empty Homes	100,000	16,609	43,391	40,000	(40,000)	0	Remaining budget to fund outstanding commitment to 4 grants.	0	0	0	0	0	0
Housing Foyer	300,000	0	0	300,000	0	(300,000)	Carried forward from 2008/09. YMCA bid to Government put on hold. Alternative proposal for Diverse Foyer requiring decision. Can budget be returned to reserves pending outcome of new bid?	0	0	0	0	0	0
	<b>2,444,000</b>	<b>751,480</b>	<b>735,520</b>	<b>957,000</b>	<b>(40,000)</b>	<b>(917,000)</b>		<b>2,149,000</b>	<b>2,149,000</b>	<b>2,149,000</b>	<b>2,149,000</b>	<b>849,000</b>	<b>0</b>

Scheme	Revised Capital Programme 2009/10 £	Expenditure to end of Nov 2009 £	Further Expenditure expected to end March £	Budget Unspent at Year End £	OPTIONS		Comments	Current Capital Prog 2010/11 £	Capital Prog 2011/12 £	Capital Prog 2012/13 £	Capital Prog 2013/14 £	Capital Prog 2014/15 £	Potential Savings 2010/11 - 2014/15 £
					Carry Forward Identified £	Potential Savings							
Grant or external contributions included in funding													
Resource Centre/Centre of Excellence - Council funding £1,361,400 - External funding £1,814,200	3,175,600	12,302	82,298	3,081,000	(3,081,000)	0	Approval by Council for £690,000 to be returned to reserves for use on the Hostel Replacement project and budget has been reduced accordingly. If Hostel Replacement scheme does not go ahead, a saving of £240,000 could be achieved from the £690,000 which was inclusive of a £450,000 capital receipt.	0	0	0	0	0	0
Hostel Replacement - Council funding £1,800,000	0	0	0	0	0	0	New proposal being considered as part of 2010/11 budget process. There is a possibility that some Housing Strategy allocation can be used to part fund this project.	200,000	1,560,000	40,000	0	0	0
Chances Park - Council funding £39,100 - External funding £754,700	793,800	214,452	579,348	0	0	0	Work expected to be complete by Dec and all expenditure completed by end of financial year.	0	0	0	0	0	0
Play Area Developments - Carry forward from 2008/09	15,100	0	15,100	0	0	0	Budget to be spent on small play area projects to be determined and to fund over spend expected on Harraby. Budget fully committed and orders placed. Orders being placed to fully commit budget. Budget will potentially be used to match fund additional community funds if confirmed. Currently out to tender.						
- Harraby	65,000	2,461	62,539	0	0	0							
(£50,000 External funding)													
- Longtown	15,000	0	15,000	0	0	0							
- Hammonds Pond	20,000	0	20,000	0	0	0							
- St James Park (£56,700 external funding)	56,700	2,036	54,664	0	0	0							
<b>Total Play Areas</b>	<b>171,800</b>	<b>4,497</b>	<b>167,303</b>	<b>0</b>	<b>0</b>	<b>0</b>	Potential savings from future years budget allocations.	<b>50,000</b>	<b>50,000</b>	<b>50,000</b>	<b>50,000</b>	<b>50,000</b>	<b>(100,000)</b>
Old Town Hall - Strategic TIC - Council funding £90,000 - External funding £60,000	150,000	0	0	150,000	(150,000)	0	Part of a larger project being developed where Council's contribution is required as match funding. If scheme doesn't go ahead could be returned to reserves. The contract for the supply of consultancy services to examine the future development of the TIC has been let and will be funded from LABGI allocation this year. This is the precursor to the bid to NWDA.	820,000	0	0	0	0	0
Trinity Church MUGA - Council funding £17,400 - External funding £63,000	90,400	1,150	89,250	0	0	0	Additional funding being sourced from HCOP which might require a carry forward at year end once confirmed.	0	0	0	0	0	0
Sub Regional Employment Sites - External funding £1,796,000	1,796,000	1,139,824	400,176	256,000	(256,000)	0	Fully grant funded by NWDA. Main works outstanding relate to demolition contract.	0	0	0	0	0	0
Connect 2 Cycleway - Council funding £10,000 - External funding £50,000	60,000	23,979	36,021	0	0	0	Expected to be fully expended.	0	0	0	0	0	0

Scheme	Revised Capital Programme 2009/10 £	Expenditure to end of Nov 2009 £	Further Expenditure expected to end March £	Budget Unspent at Year End £	OPTIONS		Comments	Current Capital Prog 2010/11 £	Capital Prog 2011/12 £	Capital Prog 2012/13 £	Capital Prog 2013/14 £	Capital Prog 2014/15 £	Potential Savings 2010/11 - 2014/15 £
					Carry Forward Identified £	Potential Savings							
Ghyll Bank Gypsy and Travellers Site - Council funding £100,000 - External funding £1,373,300	1,473,300	473,028	1,000,272	0	0	0	Expected to be fully spent by year end. Works to be completed end Feb.	0	0	0	0	0	0
Lowry Hill Park - External funding £60,000	90,000	4,278	10,022	75,700	(75,700)	0	Section 106 funding. Drainage works to be completed in Dec weather permitting. Funding must be spent within 5 years of receipt or paid back to Barratts.	0	0	0	0	0	0
ODPM Private Sector Renewal	25,000	0	25,000	0	0	0	Expected to be fully spent within current financial year.	0	0	0	0	0	0
Eden Bridge Garden Restoration - External funding £20,000	20,000	10,895	9,105	0	0	0	Balance to be spent by end of year or repaid to external funding body?	0	0	0	0	0	0
	<b>7,845,900</b>	<b>1,884,405</b>	<b>2,398,795</b>	<b>3,562,700</b>	<b>(3,562,700)</b>	<b>0</b>		<b>1,070,000</b>	<b>1,610,000</b>	<b>90,000</b>	<b>50,000</b>	<b>50,000</b>	<b>(100,000)</b>

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					Carry Forward Identified £	Potential Savings							
<b>Council Resources</b>													
Customer Contact Centre	149,600	(2,264)	2,264	149,600	(49,600)	(100,000)	Linked to wider review of Customer Services. £100,000 originally identified as potential savings to go towards Sands Development. Spend profile will be dependent on Shared Service Business Case which could require full amount?	0	0	0	0	0	0
Greystone Community Centre	21,000	21,000	0	0	0	0	Fully expended.	0	0	0	0	0	0
Willowholme Depot	15,000	0	0	15,000	0	(15,000)	Information required on whether this budget is committed as it was identified as a potential saving to be used for Sands Development.	0	0	0	0	0	0
Environmental Enhancements							Expected to be fully spent in 2009/10. This budget addresses a raft of issues to improve the quality of local environments such as amenity lighting, parking improvement, dealing with urban trees etc. However, as it is only in the programme for one year a reduction to £60,000 has been suggested in 2010/11. The basis of a small retention in the programme for one year is a continuation of projects which attract contributory funding from agencies. The carry forward relates to Highways adoption at Durranhill which is subject to County procedures.						
- Back Lanes	20,000	6,111	13,889	0	0	0							
- Parking	30,000	6,095	23,905	0	0	0							
- Lighting	30,000	0	30,000	0	0	0							
- Planters	1,800	0	1,800	0	0	0							
- City Centre	20,000	0	20,000	0	0	0							
- Flood Protection	4,700	0	4,700	0	0	0							
- Fencing	20,000	11,468	8,532	0	0	0							
- Local Schemes	24,000	1,081	14,919	8,000	(8,000)	0							
- Rickerby Park	3,000	0	3,000	0	0	0							
- Allotment Sites Water Supply	10,000	0	10,000	0	0	0							
- Old Road Longtown Kerbs	15,000	10,567	4,433	0	0	0							
- Footway Damage Tree	30,000	0	30,000	0	0	0							
<b>Total Environmental Enhancements</b>	<b>208,500</b>	<b>35,322</b>	<b>165,178</b>	<b>8,000</b>	<b>(8,000)</b>	<b>0</b>		<b>160,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Planned Enhancements to Council Property													
- DDA/accessibility improvements	30,000	0	30,000	0	0	0	Orders placed. Expected to be fully committed by Dec 09.						
- Civic Centre	147,800	4,086	105,014	38,700	(38,700)	0	Majority of works to be completed by end of year. Full floor layout not yet approved causing delay and requirement for carry forward.						
- West Walls	24,700	20,937	3,763	0	0	0	Invoices in process - expected to be fully spent. Additional contributions expected which could then require a carry forward into 2010/11.						
- Public Conveniences	30,000	10,020	19,980	0	0	0	Expected to be fully spent by year end and orders placed for materials.						
- Old Town Hall	30,000	6,010	3,990	20,000	(20,000)	0	Carry forward of £20,000 identified (to be confirmed).						
- Morton Community Centre	30,000	9,148	20,852	0	0	0	Expected to be fully spent this year.						
- Asbestos	10,000	13,152	(3,152)	0	0	0	Budget overspent and expenditure to be looked at. Will be funded from within current budget.						
<b>Total Major Repairs</b>	<b>302,500</b>	<b>63,353</b>	<b>180,447</b>	<b>58,700</b>	<b>(58,700)</b>	<b>0</b>		<b>300,000</b>	<b>300,000</b>	<b>300,000</b>	<b>300,000</b>	<b>300,000</b>	<b>0</b>
Willowholme Industrial Estate	139,000	0	4,000	135,000	(135,000)	0	Progress on the scheme has been hindered by the need for other contractors completing work first.	0	0	0	0	0	0



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					Carry Forward Identified £	Potential Savings							
Kingstown Industrial Estate	554,000	49,504	504,496	0	0	0	Expected to be fully spent this year. Tender documents will be in place after meeting with County on 25th January and work will take place in March. Invoices will be received for payment late March.	0	0	0	0	0	0
Durranhill Industrial Estate	7,400	1,850	2,050	3,500	0	(3,500)	Further expenditure of approximately £2,000 expected.	0	0	0	0	0	0
Industrial Estates	0	0	0	0	0	0	There is a £200,000 per annum budget provision for Industrial Estates to provide an on-going improvement to the infrastructure of our industrial estates primarily highways related works. The priorities are bringing Kingstown Broadway up to adoptable standards ahead of the CNDR and the commitment we have given to do the same at Willowholme. These are reflected in the 2009/10 programme. The ongoing investment could be linked to the Asset Review which could overtake the need to retain this budget from 2010/11. There is also £1million set aside in the Asset Investment Reserve which could be used to fund future works.	200,000	200,000	200,000	200,000	200,000	(1,000,000)
Vehicles, Plant & Equipment	1,957,600	1,588,904	302,696	66,000	(66,000)	0	The investment profile is based on the manufacturers guidance on the effective lifespan. There is an annual condition inspection prior to any replacement and this updates the capital programme annually. It may well be that the capital programme can be reviewed as the transformation programme progresses but it would be unwise to reduce the budget at the present time. 2 vehicles will not be replaced until 2010/11.	315,700	1,048,000	327,000	259,800	0	0
ICT Shared Services	261,600	84,426	121,774	55,400	(55,400)	0	Costs in accordance with the IT shared service. Carry forwards for schemes requiring further reports to ICT Joint Operation Board.	324,600	244,800	188,800	193,000	108,000	0
IT Equipment	56,400	25,262	21,938	9,200	0	(9,200)	£21,800 to be transferred to Revenues and Benefits shared service. No further expenditure expected on other projects.	133,000	0	0	0	0	0
CCTV	70,000	0	35,000	35,000	(35,000)	0	Planned maintenance to maintain existing camera network. If network is to continue then the budget needs to remain otherwise the system and associated initiatives will fall into disrepair. Possibility of reducing 2012/13 and 2013/14 budget allocations.	35,000	20,000	50,000	50,000	0	?

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					Carry Forward Identified £	Potential Savings							
Carbon Trust Initiative	163,500	69,602	93,898	0	0	0	Budget is fully committed and will be spent within current financial year.	0	0	0	0	0	0
Gateway - General Expenses	29,700	0	29,700	0	0	0	Retention required for Archaeology work due to be paid by end of financial year.	0	0	0	0	0	0
GIS	14,000	129	13,871	0	0	0	Forms part of IT shared service. Expected to be spent in Jan 2010.	0	0	0	0	0	0
Millennium Gallery General Expenses/Roman Gateway	60,000	0	3,000	57,000	(57,000)	0	Earmarked for Roman Gateway project and built into match funding proposals.	2,060,000	0	0	0	0	0
REAL/CTS New system	53,100	37,511	15,589	0	0	0	Software purchased and installed. To be complete by end of year.	0	0	0	0	0	0
City Wi-Fi	13,300	2,372	7,028	3,900	0	(3,900)	Required to improve Wi-Fi in Civic Centre. Work delayed due to IT shared services. £7,000 further expenditure expected in Jan.	0	0	0	0	0	?
Raffles MUGA	83,900	83,414	486	0	0	0	Fully expended.	0	0	0	0	0	0
Heysham Park Play Area	49,700	47,883	1,817	0	0	0	Fully expended.	0	0	0	0	0	0
Petteril Riverbank Protection Work	34,300	25,292	9,008	0	0	0	Expected to be fully expended.	0	0	0	0	0	0
Hammonds CCTV	22,200	16,933	4,067	1,200	0	(1,200)	£4,000 expected for remaining software links. Problems with BT, currently with IT with anticipated completion by end of financial year.	0	0	0	0	0	0
Caldew/City Centre Flood Defence	20,500	274	20,226	0	0	0	Expected to be fully expended.	0	0	0	0	0	0
Historic Quarter	773,100	27,085	26,215	719,800	(719,800)	0	Originally Identified as potential savings for Sands Development? - It is unlikely that a major scheme will progress in the next 2-3 years? Whilst this budget is earmarked it does provide the potential to secure contributory funding.	0	0	0	0	0	0
Kingmoor Nature Reserve	20,500	17,115	3,385	0	0	0	Remaining balance for panels which are to be fitted by end of March.	0	0	0	0	0	0
Renaissance Improvements	26,100	0	26,100	0	0	0	Expected to be fully expended by year end. Additional match funding from NWDA expected.	0	0	0	0	0	0
Sheepmount Development	45,400	8,870	36,530	0	0	0	Carried forward from 2008/09. Required for ongoing works. Expected to be fully expended.	0	0	0	0	0	0
Belah Community Centre	5,100	5,051	49	0	0	0	Fully expended.	0	0	0	0	0	0
	5,157,000	2,208,888	1,630,812	1,317,300	(1,184,500)	(132,800)		3,528,300	1,812,800	1,065,800	1,002,800	608,000	(1,000,000)
<b>Schemes yet to start</b>													
Document Image Processing	45,000	0	45,000	0	0	0	On hold pending creditor/debtor efficiency review. To deliver £20,000 recurring savings. Quote from Civica awaited before order will be placed.	0	0	0	0	0	0
Concessionary Fares	0	0	0	0	0	0	Approved as part of outturn report - should be part of the revenue budget. Externally funded.	0	30,000	0	0	0	0
	45,000	0	45,000	0	0	0		0	30,000	0	0	0	0
<b>TOTAL CAPITAL PROGRAMME</b>	<b>15,491,900</b>	<b>4,844,773</b>	<b>4,810,127</b>	<b>5,837,000</b>	<b>(4,787,200)</b>	<b>(1,049,800)</b>		<b>6,747,300</b>	<b>5,601,800</b>	<b>3,304,800</b>	<b>3,201,800</b>	<b>1,507,000</b>	<b>(1,100,000)</b>