

AGENDA

Audit Committee

**Wednesday, 27 September 2017 AT 10:00
In the Flensburg Room, Civic Centre, Carlisle, CA3 8QG**

Apologies for Absence

To receive apologies for absence and notification of substitutions.

Declarations of Interest

Members are invited to declare any disclosable pecuniary interests, other registrable interests and any interests, relating to any items on the agenda at this stage.

Public and Press

To agree that the items of business within Part A of the agenda should be dealt with in public and that the items of business within Part B of the agenda should be dealt with in private.

Minutes

5 - 28

To approve the Minutes of the meetings held on 14 and 31 July 2017.

[Copy Minutes in Minute Book Volume 44(2) / herewith]

PART A

To be considered when the Public and Press are present

A.1	<u>MINUTES OF BUSINESS AND TRANSFORMATION SCRUTINY PANEL</u>	29 - 42
	The Minutes of the meeting of the Business and Transformation Scrutiny Panel held on 3 August 2017 are submitted for information. (Copy Minutes herewith)	
A.2	<u>ANNUAL AUDIT LETTER 2016/17</u>	43 - 52
	To receive Grant Thornton's Annual Audit Letter 2016/17 for information. (Copy Letter herewith)	
A.3	<u>CODE OF CORPORATE GOVERNANCE AND GOOD GOVERNANCE PRINCIPLES</u>	53 - 94
	The Chief Finance Officer to submit a report updating Members on the Council's governance arrangements and its systems of internal control in line with CIPFA's Good Governance Framework. (Copy Report RD.22/17 herewith)	
A.4	<u>INTERNAL AUDIT PROGRESS QUARTER 2 - 2017/18</u>	95 - 180
	The Chief Finance Officer to submit a report providing an overview of the work carried out by Internal Audit in the second quarter of 2017/18, together with information on progress against the agreed audit plan. (Copy Report RD.21/17 herewith)	
A.5	<u>TREASURY MANAGEMENT: APRIL TO JUNE 2017</u>	181 - 196
	The Chief Finance Officer to submit a report providing the regular quarterly summary of Treasury Management Transactions. The Executive considered the matter on 29 August 2017. (Copy Report RD.20/17 and Minute Excerpt herewith)	

PART B

To be considered when the Public and Press are excluded from the meeting

- NIL -

Members of the Audit Committee

Conservative - Higgs, Mrs Mallinson, Shepherd, Bowman S (sub), Christian (sub), Earp (sub)

Labour – Mrs Birks, Bowditch (Vice-Chairman), Patrick (Chairman), S Sidgwick, Alcroft (sub), Ms Williams (sub), Wilson (sub)

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