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<b>REPORT TO EXECUTIVE</b>			
<b>PORTFOLIO AREA: POLICY &amp; PERFORMANCE</b>			
<b>Date of Meeting:</b>		28th April 2003	
<b>Public</b>			
<b>Key Decision:</b>	No	<b>Recorded in Forward Plan:</b>	Yes
<b>Inside Policy Framework</b>			

**Title:** DRAFT CORPORATE RISK REGISTER

**Report of:** Alan Wood - Procurement and Project Co-ordination Officer

**Report reference:** SP10/03

**Summary:**

A Corporate Risk Register is being developed with the assistance of Marsh consultancy, and the newly established Corporate Risk Management Group will now manage this.

**Recommendations:**

The Executive is asked to note the draft Corporate Risk Register.

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**1.**

## **2. BACKGROUND INFORMATION AND OPTIONS**

1. Members have previously been updated on progress relating to Risk Management following the Best Value Review.
2. Amongst the action identified was the production of a Corporate Risk Register, identifying the most significant and strategic risks for the Authority.
3. The attached draft Risk Register has been produced with the assistance of Marsh Consulting, who facilitated the training events held for Heads of Business Units and Members.
4. Following Marsh's response Officers from the Risk Management and Health & Safety Best Value Working Group and the Executive Portfolio Holder reviewed their proposals and produced the attached register.
5. The Corporate Risk Register has previously been circulated to CMT and Corporate Resources Overview and Scrutiny Committee (the standard process for Best Value related issues)
6. The Risk Register will be further reviewed by the newly established Corporate Risk Management Working Group and managed by them.

## **2. CONSULTATION**

1. Consultation to Date.
2. Consultation proposed.

## **3. STAFFING/RESOURCES COMMENTS**

3.1 Resources needing to follow identified risks have been incorporated into Business Plans. Some major risks may need additional resources but these have not yet been assessed via cost/benefit analysis.

## **4. HEAD OF FINANCE'S COMMENTS**

## **5. LEGAL COMMENTS**

## **6. CORPORATE COMMENTS**

Included in report

## **7. RISK MANAGEMENT ASSESSMENT**

Included in report

## **8. EQUALITY ISSUES**

Included in report

## **9. ENVIRONMENTAL IMPLICATIONS**

Improved Risk Management reduces the risk of adverse environmental implications of projects

## **10. CRIME AND DISORDER IMPLICATIONS**

Included in report

## **11. RECOMMENDATIONS**

The Executive is asked to approve the Corporate Risk Register.

## **12. REASONS FOR RECOMMENDATIONS**

To demonstrate that the Authority is progressing the implementation of its Risk Management procedures.

## **DRAFT**

# **CARLISLE CITY COUNCIL**

## **CORPORATE RISK REGISTER 2002/03**

### **STRATEGIC**

- S1 Response to Comprehensive Performance Assessment, Audit / Inspection, Best Value, KPI's, etc.
- S2 Strategic Communications (internal and external)
- S3 Management of Cultural change
- S4 Service Continuity Management

- S5 Change in framework for Regional Government
- S6 Impact of changes in Local Economy
- S7 Alignment of Council key objectives with those of the Community
- S8 Strategic Emergency response planning
- S9 Future viability of core services

## **SERVICE DELIVERY**

- D1 Revenue and Benefits Administration / Management and correctness of payments
- D2 Housing, Service delivery post – LSVT and monitoring performance
- D3 Arts and Cultural Services – choosing the right way to go
- D4 Local taxation, debt recovery – effectiveness of procedures

## **THIRD PARTY CLAIMS**

- C1 From poor maintenance of public areas
- C2 From employees injuries and ill health

## **OPERATIONAL MANAGEMENT**

- O1 Support for the procurement and risk management function. Selection and management of service suppliers
- O2 Suitability and sufficiency of Property portfolio, effectiveness of Asset Management Plan
- O3 Effectiveness, reliability and protection of current ICT systems, software, electronic data and non-electronic data

## **HUMAN RESOURCES**

- H1 Sickness, absence and early retirement
- H2 Implementation of single status (if job evaluation implemented)

## **FINANCIAL RISKS**

**F1** Investment and borrowing strategy

**F2** Level of Pension Funds

## **PARTNERSHIPS / COLLABORATIVE AGREEMENTS**

- P1** Partnership Working
- nature of agreement
  - objectives, deliverables
  - responsibilities, procedures and monitoring
  - effectiveness of partners