

# AGENDA

## Audit Committee

**Friday, 18 December 2020 AT 10:00**

**This meeting will be a virtual meeting and therefore will not take place in a physical location.**

### **Virtual Meeting - Link to View**

This meeting will be a virtual meeting using Microsoft Teams and therefore will not take place at a physical location following guidelines set out in Section 78 of the Coronavirus Act 2020.

### **Register of Attendance and Declarations of Interest**

A roll call of persons in attendance will be taken and, at the same time, Members are invited to declare any disclosable pecuniary interests, other registrable interests and any interests, relating to any item on the agenda at this stage.

### **Apologies for Absence**

To receive apologies for absence and notification of substitutions

### **Public and Press**

To agree that the items of business within Part A of the agenda should be dealt with in public and that the items of business within Part B of the agenda should be dealt with in private.

## **Minutes of Previous Meeting**

**7 - 20**

To note that Council, on 3 November 2020, received and adopted the Minutes of the meeting held on 24 September 2020. The Minutes will be signed by the Chair at the first practicable opportunity.

[Copy Minutes in Minute Book Volume 47(4) / herewith]

## **PART A**

### **To be considered when the Public and Press are present**

- A.1 MINUTES OF BUSINESS AND TRANSFORMATION SCRUTINY PANEL** **21 - 48**
- The Minutes of the meetings of the Business and Transformation Scrutiny Panel held on 3 and 17 September; 15 October and 1 December 2020 are submitted for information.
- (Copy Minutes herewith)
- A.2 EXTERNAL AUDIT FINDINGS FOR CARLISLE CITY COUNCIL** **49 - 84**
- Grant Thornton to present the draft 2019-20 Audit Findings Report
- (Copy draft Report herewith)
- A.3 STATEMENT OF ACCOUNTS 2019/20 AND 2020/21** **85 - 104**
- The Corporate Director of Finance and Resources to submit a report providing a brief summary of the progress of the 2019/20 accounts and informing the Committee of the outstanding work that still needs to be completed before the accounts for 2019/20 can be signed off. Information regarding the 2020/21 Final Accounts process; and the draft accounting policies that will be used in the closedown of the 2020/21 accounts is also provided.
- (Copy Report RD.46/20 herewith)



**A.8     DRAFT TREASURY MANAGEMENT STRATEGY STATEMENT,** **183 -**  
**INVESTMENT STRATEGY AND MINIMUM REVENUE PROVISION** **218**  
**STRATEGY 2021/22**

The Corporate Director of Finance and Resources to submit a report setting out the Council's Treasury Management Strategy Statement for 2021/22 in accordance with the CIPFA Code of Practice on Treasury Management. The Executive considered the matter on 14 December 2020.

(Copy Report RD.44/20 herewith / Minute Excerpt to follow)

**A.9     TREASURY MANAGEMENT QUARTER 2 2020/21 AND FORECASTS FOR** **219 -**  
**2021/22 TO 2025/26** **238**

The Corporate Director of Finance and Resources to submit a report providing the regular quarterly summary of Treasury Management Transactions, together with budgetary projections for 2021/22 - 2025/26. The matter was considered by the Executive and the Business and Transformation Scrutiny Panel on 9 November and 1 December 2020 respectively.

(Copy Report RD.34/20 (amended) and Minute Excerpts herewith)

**PART B**

**To be considered when the Public and Press are excluded from the meeting**

**B.1     INTERNAL AUDIT REPORT INCOME MANAGEMENT**

- Information relating to the financial or business affairs of any particular person (including the authority holding that information);

**B.2     ANNUAL REVIEW OF COUNTER-FRAUD ARRANGEMENTS**

- Information relating to the financial or business affairs of any particular person (including the authority holding that information);

**Members of the Audit Committee**

**Conservative** - Mrs Bowman, Mrs McKerrell, Meller (Chair), Mrs Finlayson (sub), Morton (sub), Shepherd (sub)

**Labour** – Birks, Patrick (Vice-Chair), Dr Tickner, Alcroft (sub), Mrs Atkinson (sub), Miss Whalen (sub)

**Independent** - Bomford, Paton (sub)

Enquiries, requests for reports, background papers, etc to: Morag Durham, Democratic Services Officer - [morag.durham@carlisle.gov.uk](mailto:morag.durham@carlisle.gov.uk)