

CARLISLE CITY COUNCIL**Report to:- Council****Date of Meeting:- 20th February 2004****Agenda Item No:-****Public****Policy****Delegated No****Accompanying Comments and Statements****Required****Included**

Environmental Impact Statement:

x

-

Corporate Management Team Comments:

x

-

Financial Comments:

x

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Legal Comments:

Personnel Comments:

x

-

Impact on Customers:

x

-

**Regional Government – Further Submission to the
Boundary Committee****Title:-****Report of:-**

Town Clerk and Chief Executive

Report reference:-**Summary:-**

This report presents the Executive's proposed stage 3 response to the Boundary Committee's draft recommendations.

Recommendation:-

The Executive having taken into consideration the Overview and Scrutiny Committee comments and observations are recommending the attached report for proposal as the Council's formal response to the Boundary Committee's draft recommendations for unitary local government in Cumbria.

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Note: in compliance with section 100d of the Local Government (Access to Information) Act 1985 the report has been prepared in part from the following papers: None

**Local Government Review in the North
West**

Carlisle and Eden District Councils
2nd Submission to the Boundary Committee
Proposed Executive Response 13th February
2004

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1 Executive Summary

The Committee is seeking views about the draft recommendations and this submission is based on the agreement of the four districts in the north of Cumbria that the Boundary Committee's recommendations present the electors of Cumbria with no real choice. Given that the Committee has chosen to consult on a unitary county option, we regret that the alternative option for consultation has been a single northern unitary which is not based on any established infrastructure. In terms of the Committee's requirements, we believe that neither option can fully reflect the identities and interests of local communities nor be capable of securing effective and convenient local government. Both options:

- would create large and remote authorities;
- ignore the findings of both YouGov and MORI polls which show a preference for government at a district rather than county level;
- are unsupported by any of the District councils covered by the proposal; and
- nullify the effort which has already been put into producing the partnerships which will provide solutions to local issues.

In addition the north Cumbria option:

- seeks to combine areas which have less in common in terms of community identity than the proposed two northern unitaries, are geographically distinct, need improved transport links and which face hugely different problems in supporting their local economies and social infrastructure;
- may increase electoral alienation and decrease turn-out by imposing a structure which is distant and has no historical roots; and
- has no current local government organisational infrastructure (other than parish councils and County and is therefore very high risk.

Instead we re-iterate our support for a two-unitary solution for North Cumbria based upon Eden/Carlisle and Allerdale/Copeland. We understand that all options have their drawbacks and risks, but believe that these can be best managed within the two unitaries for the north because:

- the two unitaries build on the partnership structures which have grown up in response to the areas' problems - they work - they are not imposed from outside or above;
- they are 'mid-range' in size compared to other recently created unitaries and can provide both the strategic capacity and 'agility' required of modern local government - this is a particular issue for Cumbria where many services require radical change and improvement;
- their greater local focus and compactness will be more conducive to joined-up multi agency working which will in turn drive efficiency through integration;
- they will draw up Local Public Service Agreements which truly focus on local priorities rather than trying to deliver across a broad range of agendas;
- they represent the best opportunity to engage people in the democratic process and community involvement; and

- they will avoid the danger of arguments about cross-subsidisation and ensure that opportunities for external funding and support are maximised.

2 Introduction

2.1 Background

In December the Boundary Committee published draft proposals for unitary government in Cumbria. The Committee is now seeking views about the draft recommendations and this submission is based on the agreement of the four districts in the north of Cumbria that the Boundary Committee's recommendations present the electors of Cumbria with no real choice. Given that the Committee has chosen to consult on a unitary county option, we regret that the alternative option for consultation has been a single northern unitary which is not based on any established infrastructure. In terms of the Committee's requirements, we believe that neither option can fully reflect the identities and interests of local communities nor be capable of securing effective and convenient local government.

Instead we re-iterate our support for a two-unitary solution for North Cumbria based upon Eden/Carlisle and Allerdale/Copeland. We believe that this option offers the best structure to tackle the strategic issues facing the two areas.

2.2 This submission

In drawing up this submission, we have looked very carefully at the arguments mounted by the Committee. We have noted concerns and recognise that we did not always produce sufficient evidence to support our proposals in the first round of submissions. We seek to fill these gaps with this document, so it is structured to:

- re-iterate and re-inforce those aspects which the Committee has already agreed are strengths in the argument for two northern unitaries;
- address specific areas of concern;
 - Strategic capacity and the key risks
 - Social Services
 - Education
 - Regeneration;
- compare the proposed unitaries with existing unitary structures elsewhere; and
- address transitional arrangements.

3 Re-inforcing the strengths

3.1 Introduction

The evaluation criteria used by the Boundary Committee were that local government must meet the following tests:

- to reflect community identity and interests; and
- to provide effective and convenient local government

In its report, the Boundary Committee recognised that the original district submission had some real strengths in these areas and we would argue that these particularly apply to the two northern unitaries we propose.

3.2 Local community identity

The two unitary authority approach most strongly reflects the expressed community identity of the people of northern Cumbria and the consensus of existing districts. The Committee has already noted that the size of the proposed county unitary may militate against effective representation of community interests and identities and we believe the slightly smaller single unitary across the north would have similar problems. It also recognises the distinct communities with differing and separate concerns and the difficulties caused by the topography of the area with the Cumbrian Mountains running through the centre of the county. There is significant travel between Allerdale and Copeland and between Eden and Carlisle for activities such as shopping and travel to work, but it is much more difficult to travel across these boundaries. There is no affinity between, say, Millom in Copeland and Alston in Eden. In this sense, our proposal is the only one that meets the geographic realities of the area.

The County Council proposes to address the need for community engagement deficit through area committees, which in our experience have proved ineffective, and through accelerating the Quality Parish programme - an approach which the Boundary Committee has concerns 'whether this proposed strategy would be practicable in the short term.' We believe that any similar proposals from a single northern unitary would face the same problems. The pairings of the current districts are best placed to undertake this role because their roots are firmly within local communities.

This view is supported by the MORI research conducted for the Boundary Committee (which showed 54% felt they belonged to their district council area as opposed to 43% to the County) and the most recent YouGov poll of January 2004, which showed 53% of voters in the North West being comfortable in losing their County Council as opposed to 11% being comfortable with losing the district council. It is also strongly supported by the CRED report, which shows how patterns of employment, commuting and leisure align significantly within an Allerdale/Copeland and Eden/Carlisle split.

Finally, we believe there is a danger that a solution imposed from outside and having minimal support within the area would serve to alienate people further from the political process. If we are to involve residents in these new structures they have to be seen to be more than lines drawn on a map in Whitehall. We are worried that an imposed solution could feel more like another remote unaccountable QUANGO than an arm of local democracy.

3.3 Wider patterns of community and partnerships

The Committee also noted the strong history of joint working displayed by Eden/Carlisle and Allerdale/Copeland, the latter in particular being based upon their shared industrial heritage. These joint working arrangements, now expressed in formal LSP arrangements, have emerged as the most effective way of representing local communities and tackling the real but different issues they face.

The strength of these partnerships is also substantiated by the support our proposals have received from community-based organisations, parishes, the Police etc

What we are now proposing is to build on the strength of these partnerships to drive forward the improvement of County services such as Education, Social Services and transport within the framework of the LSP.

In both Allerdale/Copeland and Eden/Carlisle we have demonstrated community leadership in the development of LSPs. This is recognised by the CRED report which states that 'the relations with other organisations and partnerships supports the three unitary authority option strongly in both Allerdale-Copeland and Carlisle-Eden.' The new unitaries will give us much greater freedom to flex resources towards these locally agreed priorities and, if necessary, to be bold in re-aligning corporate structures and functions to meet LSP priorities. In particular we will be able to take forward a range of cross-cutting issues with more vigour under unitary government.

3.4 Effective and convenient services

While the County may hold the purse-strings for the major part of local authority expenditure - even though much of this is just passported on - the 'public face of local government' in terms of transactions is District councils. Somewhere between 80-90% of people's contacts with local authorities take place at a district level. This means that districts are at the forefront of developments such as 'one-stop public service' shops.

Eden/Carlisle and Allerdale/Copeland councils have - or are planning to provide - single points of contact to provide customers with a greater choice of service access methods. This may take the form of a customer contact centre / first stop shop - which provides information and signposts - or a one stop shop which would seek first-time resolution of most customer enquiries. Our two unitaries will be building on a good track record of customer access and joint working.

- Eden/Carlisle and Allerdale/Copeland unitary councils have plans for many more improvements for the customer contact centre - for example, Capita have been commissioned to design a customer contact centre for Carlisle, which will be operational in summer 2004.
- The two new unitary authorities will be far further down the line of satisfying the Government's requirement of ensuring that all transactions with the public are capable of being delivered electronically by 2005.

The Audited Commission stated in Carlisle CPA inspection report, that 'the development of a customer contact centre, rationalising reception points in the civic building and making the approach to transactions more intimate, professional and customer orientated'

On the other hand, the Audit Commission commented that 'the (County) Council has made no direct progress towards the government's targets for electronic delivery of

council services, despite the fact that poor ICT and communications systems are hampering improvements in service delivery across this widespread county.'

The County has very few service access/information points and has not demonstrated the ability to develop successful innovative customer access arrangements which are critical to the improvement of transactional services such as benefits, housing services, planning, environmental health, land charges, building regulation, management of open spaces, refuse collection and street cleaning etc. The difficulties that have recently been experienced by the County in its CPA regarding the lack of focus, prioritisation and performance management mean that it would be poorly placed to take on these transactional services and there is nothing in its submission to show how it could provide effective management or drive improvement.

The MORI research identified that residents felt that the most important criteria which should be taken into account in deciding unitary boundaries were quality, responsiveness, cost and accountability. It showed that residents were more satisfied with quality, listening and access at their District council than at the County Council and satisfaction with the location of council offices was 72% for the districts and 30% for the County Council.

4 Addressing Boundary Committee concerns

4.1 Introduction

In its draft recommendations the Boundary Committee raised some concerns about the ability of the proposed unitaries in the north to deliver the services currently carried out by the County. In particular the Committee sought reassurance over our proposals for delivering Social Services and Education and whether there was sufficient 'resource base capacity' in the west to tackle the significant economic restructuring needed to face the decline of the nuclear industry.

In response we have set out our proposals, in this chapter, to build the corporate centre of our new organisations to make them 'fit for purpose' in addressing these challenges. We also look at the issue of the resource base to argue that building a large and potentially remote authority in the north does nothing to help confront the restructuring of the economy in Allerdale/Copeland or to offer any advantages in the delivery of larger services such as Education and Social Services.

In the following chapters we look at the main strategic services in turn to show how our proposals for delivering these services will maintain their strengths where these exist and tackle the weaknesses that have been identified in inspection reports and through our own community consultation processes.

4.2 Corporate capacity and policy making

We understand that a critical element in the construction of the new authorities will be the creation of a central policy and organisation development team which can drive the implementation of new structures, cement organisational capacity and establish systems for monitoring performance and progress against key targets. We believe the key to our success will be:

- putting the Local Strategic Partnership at the heart of our objective-setting and management systems to clarify priorities, co-ordinate the activity of all local stakeholders, identify external funding and monitor progress/performance;
- creating an organisational culture which stresses robust performance/process management, clarity of accountability, employee empowerment and innovation;
- establishing a service planning and financial management regime to ensure resources match priorities, services demonstrate value for money and that risk is routinely assessed;
- undertaking smart procurement;
- constructing an organisation and workforce development plan to get the right numbers, skills and competencies for current and future requirements; and
- clarifying the roles of members in setting policy and scrutinising performance - ensuring that members have clear and regular information and support in this role and their role in championing neighbourhood issues.

We recognise that strategic capacity is an issue on which many districts have been criticised in the past, but it is precisely the issue which has been highlighted for many in their CPA and therefore forms a key part of their improvement agenda. We are already taking a more corporate approach to project management, procurement and risk management and developing longer term plans to ensure we have sufficient capacity, skills and resources to deliver priorities for local people.

We are also promoting values and a management style that encourage empowerment and learning. This extends to cross-functional and cross-organisational boundary working in the spirit of building robust partnerships and will give us a firm foundation for the new unitary.

4.3 Resource base

The Boundary Committee has expressed concerns that the proposed unitaries would 'have sufficiently robust resource bases to meet the needs of local communities.' In particular, the Committee expressed the view that the resource base capacity available to Allerdale/Copeland 'in confronting significant economic restructuring requirements would be severely tested.' We do not believe this to be the case, because in our view the critical element is focus as well as 'council tax base.'

The argument that the 'relative prosperity of the east' should be used to tackle the socio-economic issues of the west is hardly one that is likely to play well with the residents of Eden/Carlisle, either in supporting the proposal for a regional assembly or in engaging with any future local government structures. This is particularly the case given the different, but also important, issues that Eden/Carlisle face within their own local economy, specifically in relation to tackling low wages.

We would also argue that the aggregation of problems within a larger unitary authority would do little to help with the socio-economic issues in the west. The Government's recent announcement of a new taskforce responsible for developing an overarching regeneration plan that will oversee the social, educational, economic and infrastructure needs of the community acknowledges the important relationship between regional agencies and local partnerships. We do not believe that these existing partnerships would benefit from dilution within a larger authority, nor would the socio-economic problems receive the focus they deserve.

4.4 Resource management

The Committee inferred that larger authorities - either the county or the proposed single unitary in the north - might be able to achieve overall economies of scale in comparison to the two northern unitaries. We cannot see any evidence to support this.

The CRED report referred to by the Boundary Committee does **not** itself conclude that the single unitary is more likely to achieve overall economies of scale than the two unitaries, it merely reports that these are the views of some consultees. It then goes on to say that 'low population density in some areas of Cumbria is emphasised as being a problem in terms of achieving economy of scale.' We can only agree and argue that bringing the four current districts together does nothing to increase population density, it merely increases the remoteness of the authority from most of the residents. Indeed, the CRED report goes on to say that partnerships and contracting out 'may provide alternative approaches to the delivery of these services whilst maintaining as far as is

possible, the reflection of local preferences.' This is precisely what we are arguing when we say that economies are going to come not from scale, but from innovative arrangements based on local partnership working.

In chapter 9 of this document we bring our own analysis to support the CRED finding that there is no strong relationship between population size and performance.

4.5 Joint arrangements

The Boundary Committee has emphasised the importance of avoiding 'joint arrangements' in new unitary structures. We do not envisage any additional statutory joint arrangements other than those already identified as necessary by the Boundary Committee but we must emphasise that the government's modernisation principles require good partnership working. There are many examples of effective partnership working on the commissioning and delivery of services between public sector organisations - this is not a weakness, indeed high performing councils under CPA in part achieve excellent scores because of their ability to work in partnership. The ODPM, through initiatives such as the Strategic Partnership Taskforce, wholly endorse the value and use of such arrangements.

The principles that we would adopt are to ensure that the policy-making function remains solely within the purview of each single unitary, so we would have for example our own Education Authority, Local Transport Plan, Social Services vision and policies etc. Indeed, we would conduct early reviews of these major areas to ensure that policy is aligned with our LSP strategic objectives.

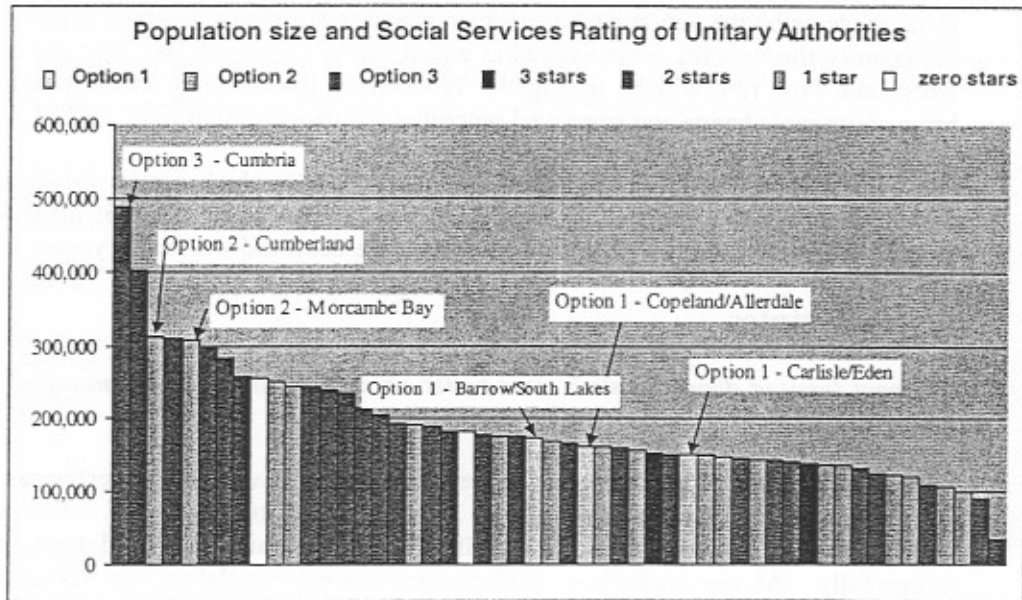
However, in the design, commissioning and delivery of these services we would examine partnership working as a delivery option to test whether it is the right approach in terms of value for money and service quality and co-ordination. As part of this appraisal we will robustly assess risk and ensure that we have contingency plans. If we did not do this we would fail the Audit Commission's test of best value.

We expect there will be occasions where we enter partnerships with other authorities, just as when we enter partnerships with other public and private sector bodies, in order to achieve greater purchasing power, access to specific skills or significantly less transaction costs. For example, we would expect to pool procurement expertise, resources and budgets to support children and young people with special educational needs (SEN) who require specialist day or residential care and education. We will look at models of good practice including the northwest regional SEN partnership in terms of establishing protocols and we will work closely with Health to make the most of the Health Act flexibilities in terms of pooled funding with Education, Social Services and the voluntary sector.

None of these arrangements would lessen the case for the two unitaries in the north because the policy-making - i.e. the delivery of the services that local people want - would remain firmly with the new unitary. The more innovative approach to delivery will bring benefits both in terms of focusing services more directly upon need as well as value for money.

There is no significant correlation between the population size of the authority and the performance of the Social Services department. The majority of authorities have one or two star rated departments. However, the two authorities with the weakest performing departments (with zero stars) are in the larger end of population size and the three authorities with the strongest performing Social Services departments (three stars) are in the smaller end of the population spectrum.

The diagram below superimposes the options for Cumbria to show that the Eden/Carlisle and Allerdale/Copeland authorities would fit within the mid-range of these authorities.



Building upon performance improvement

The existing service has not been successful but there is work in progress to 'turn-around' the performance of the department. We understand that there is a performance improvement programme and a new Cumbria-wide Director of Social Services appointed. Significant additional resources have been planned for the department to support this process. A performance improvement structure will already be in place when the two unitaries become operational.

The two new north Cumbria authorities are best placed to drive further change and continuous improvement, unencumbered by any inclination to protect the status quo.

In particular, we see a focus on:

- taking the commissioning strategies - currently being developed - and making them happen at the service delivery level and bringing about measurable change;
- implementing the recruitment and retention plans; and
- ensuring that a performance driven culture is embedded through the new departments.

Our authority can tackle the key local challenges and needs

Even at the end of the 18-month period of intensive performance improvement, the north Cumbria or county-wide options may well continue to struggle to meet local needs, which vary considerably within the county. Our authority will bring greater focus on specific local needs and integration with the LSP structure. LSPs are the most appropriate forum for building a matrix of local services which link and interact with one another.

For example, our approach provides an opportunity to move away from 'departmentalism' to build modern services that are appropriately local - linked to the infrastructure of people's everyday lives such as early years providers, schools, health centres, hospitals, libraries, shopping centres and transport routes.

In addition, the size of partnerships in children's services is vital and research carried out by the Association of Directors of Social Services cast doubts about community partnership over 200,000¹. Our proposal is also more likely that meaningful integration with PCTs - and therefore service improvement to the public - can take place².

The cost and risk of transition to the new departments are low

At present Cumbria Social Services are already mainly organised at district level. It will be relatively straightforward to move to the new unitaries, with economies of scale from merging two districts compensating the cost of creating two north Cumbria departments. Therefore, the transition required by the new unitaries will be minimal, given the co-terminosity of management structures and the district's experience of establishing and operating LSPs.

In summary

Overall, we need to build our services around the vulnerable children and adults we serve and continuously consider the outcomes of our interventions. In this context, standard arguments about large size county-wide departments being able to plan and deliver economies of scale are no longer valid. Our unitary will enable these services to be more joined-up so that strategic objectives - set out in national strategies and LSP community plans - can be met.

5.3 Current Position

Social Services in the county are in a particularly challenging position. The department is one of only eight councils in England to receive zero stars in the November 2003 performance ratings. This external judgement provides an objective starting point for the new authority to plan for improvement.

There are a number of specific problems for our new department:

- children's services perform more poorly than adults;

¹ See Page 19 Tomorrow's Children - a discussion paper on UK child care services in the coming decade. The Association of Directors of Social Services.

² Even if a single Cumbria PCT is created it is likely that local health groups will be established to reflect the existing LSP arrangements, Carlisle and Eden local health groups are currently working towards this. Greater integration will not be possible through a countywide Social Services structure that must deal with three or four different areas with differing agendas..

- adult services have made progress in developing whole system approaches to modernising services (through the change agent team process) but there is still too large a focus on residential care; and
- there is a lack of real innovative partnership working or service delivery models.

The existing approach needs changing and it requires both a new start and our local knowledge of the needs of our residents to solve them.

5.4 Issues and challenges

We are fully aware of the major challenges for Social Services and we have the will and competence to manage these.

In Eden/Carlisle the challenges are:

- setting a new direction and outcomes for clients, rather than getting lost in the maze of inter-related initiatives available. For example, to make best use of the CYPSP (Children's and Young Peoples Strategic Partnership) as a subset of the LSP, requires a locally focused Social Services department. It also involves users in decision-making - at the moment there is no structure for getting the 'voice' of families and for that in turn to help shape the services;
- ensuring that there is a wider range of local providers and appropriately skilled staff to provide the specialist services required, as well as managing the transition from a predominately provider focused organisation to a strategic commissioner;
- identifying the options for innovative partnership working and choosing the most appropriate model for the different service areas of children's, adults, learning disabilities etc;
- implementing the new strategies for Social Services on the ground e.g. changing the focus of service provision away from a traditional dependence service to one that promotes independence for service users;
- changing the culture of the Department to a performance driven one, which supports the delivery of modern Social Services and tackling specific areas of non-performance such as the number of Looked After Children in Eden/Carlisle;
- cultural diversity - a much larger issue than the census suggests which needs addressing within local communities and our workforce;
- recruitment and retention are problems for both Carlisle and Eden and both are significantly worse than the rest of Cumbria;
- Social Services in Eden/Carlisle are characterised by a lack of partnership with national voluntary organisations like NCH and Barnados compared with the rest of Cumbria. We recognise that this has to be developed with a commissioning strategy in place for family support;
- rural issues - for example there is no Family Centre in Eden with a consequence of less developed services. This may also mask the demand for services; and
- the need to further devolve service delivery e.g. around locality teams.

In the next few years there are also a number of challenges for all Social Services departments:

- managing increasing expectations and demands from the public and central government for improved performance and measurable outcomes (e.g. seen through increased referrals rates and the Quality Protects programme);
- retention and recruitment of key staff - there is a need to have an even stronger focus on developing and recruiting social care staff with the high level skills required to undertake modern social care;
- developing a more professional approach to commissioning and procurement across in-house and independent sector provision; and
- closer and more strategic partnerships both internally (particularly with Education and Housing) and between different agencies - the NHS, private and voluntary sectors.

5.5 Our Plans for the future

By the time we assume responsibility for the service, the performance improvement process will have started to lay the foundations for change by establishing a performance management system, tackling recruitment and retention, improving social work practice and supervision etc. Our priority will be to implement change at the front line rather than create new strategies and procedures. We will of course be involved at the earliest opportunity and take the impact of performance improvement into account in our plans.

Our approach will be to:

- get the basics correct - care and assessment processes, quality assurance and care planning - before further radical organisational change;
- build contact with other leading unitary Social Services departments to share best practice, particularly where a more local dimension to care services has worked well;
- agree a people strategy which includes recruitment and retention, training and development, equality issues, management style, remuneration, work life balance etc;
- establish an appropriate level of leadership through:
 - a member development programme focusing on the challenges of strategic and political leadership of Social Services
 - reviewing the leadership of Social Services in light of changes in Education and Health and the implications of the Green Paper
- introduce genuine preventative work, through working more closely with LSP partners, for example, all schools / early years providers in Carlisle and Eden;
- undertake an option appraisal of the future of Cumbria Care and a market development programme to attract new providers and staff e.g. with the national voluntary sector; and
- review all major joint policies and working inherited from the old county-wide structure within the first 12 months of operation and amend them to reflect local need. New unitaries such as Blackburn and Darwen have no joint arrangements.

6 Education

6.1 Introduction

The new authority will have at its heart the need for children and young people to be successful and improve their life chances and achieve high standards. The approach will be to seek a step change focussed on improving how children and young people think about themselves, addressing their motivation to progress in education and training. Educational provision will be reviewed in partnership to address issues of participation, achievement, progression and inclusion. This will be undertaken within a strategic planning context, which addresses local needs, equality, access and choice in both urban and sparse rural communities.

The current disparity in size and recruitment in schools will be addressed, as the success of one school cannot be at the expense of others. The projections of surplus places will be managed and reform undertaken in close collaboration with leadership from schools themselves and clear strategies will be set out to show where schools need to be in 10 years time. The pattern of provision will ensure sensible location with respect to demand, choice for parents across sectors, curriculum choice and timetable. Future development will be undertaken in partnership to address the 14-19 agenda, which will include the development of learning centres which help to give young people clear progression routes to further and higher education, training and employment.

The new authority will seek to promote a closer relationship between providers in future and build on the links between schools, FE and HE institutions, training providers and employees. This collaborative approach is currently enthusiastically led by the Federation of Carlisle Schools and will be supported and new partnerships developed to raise standards and achievement. There is a strong commitment to developing our schools as centres of local communities, especially Primary Schools. We will support and develop further extended schools; breakfast clubs; Lifelong Learning programmes and progress for gifted and talented children.

The new authority will also review, in collaboration with the LSC, the current provision for adults in terms of education and training. This will include addressing essential skills and widening the participation rates, particularly amongst the hard to reach groups.

6.2 Key principles

Maintenance of the strengths of the current Department and focus on the areas for development

We fully recognise the contribution of the existing Education department and will build on them in a way, which jeopardises none of the achievements. We also want to focus on those areas of improvement that are required, for example the interaction with the Children and Young Person's Strategic Partnership (CYPSP).

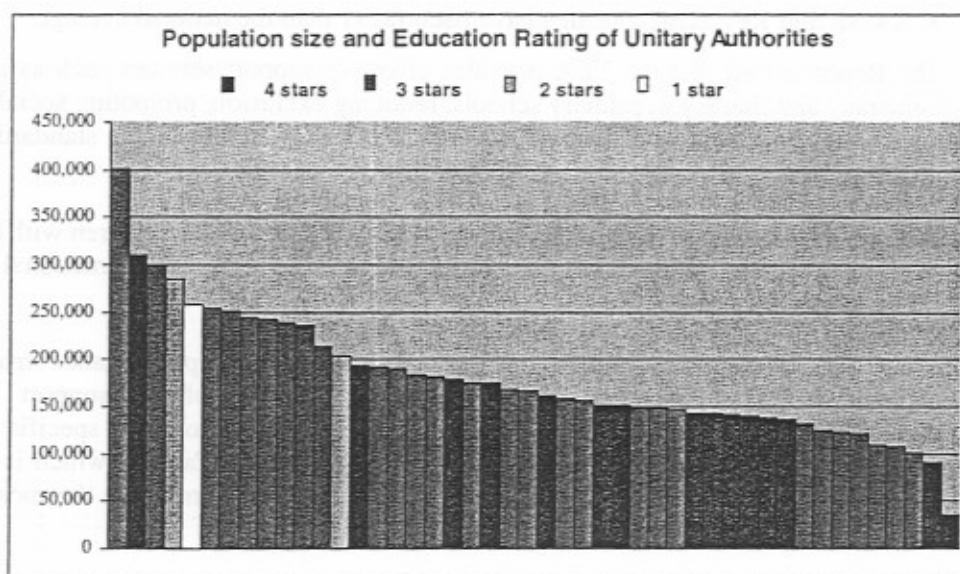
Local need can best be met and managed locally

Cumbria is a county of contrasts and the two northern unitaries will be able to use their greater local understanding of service need and current gaps to tackle issues such as planning school places and managing school catchment areas.

Education departments are inspected by OFSTED and their results form part of the Audit Commission's overall CPA assessment. LEAs are graded 1-4 (4 being the highest). The ratings for all England unitary authorities for 2003 are shown below.

There is a correlation between the population size of the authority and LEA performance. The majority of LEAs, which score grade 4 are found in the smaller end of the population spectrum. Overall, the majority of LEAs received a grade 3 or above – only 3 authorities scored below this and these authorities are among the largest of all unitary authorities.

The proposed options for reorganisation in Cumbria would create unitary authorities, which fall at the very top end of population.



Managing dis-aggregation to minimise the impact on schools

We wish to work closely with the Carlisle and Eden community of schools and will build on the good relationships already developed within the Carlisle City Council's Post 14 group. Our approach will mean that schools will experience little disruption.

New models of education service delivery

We expect a significant range of children's educational services to be established outside a traditional Education department around new strategic service delivery partnerships. We have no pre-existing traditional service structures and vested interests to accommodate so we can focus exclusively on outcomes for children.

Best value decisions on the role of joint working

Eden/Carlisle LEA will be independent and not require any formal joint boards. However, we do expect collaboration on best practice sharing and specialist education services where it offers better value to do so, in line with modernisation principles and similar to arrangements elsewhere in the educational sector.

6.3 Current Position

The core Local Education Authority functions comprise policy and development - including early years; quality and advisory services; governor support; SEN, the school psychological service and the learning support service; education welfare services; student awards; and adult and continuing education. The current Department has been identified as good, with OFSTED (2001) concluding that:

- standards are rising in schools (although there are significant local variations), while attainment is better than national average at Key Stage 2;
- there are good partnerships with primary and special schools; and
- GCSE and Key Stage 2 results have risen faster than the national average.

The Report stated that the LEA provides effective support services such as support for numeracy and literacy in primary schools, reducing exclusion, promoting social inclusion, improving attendance and financial management and personnel. The standard of school buildings is also high, even though there are surplus spaces.

The service has a good record for adapting schools to ensure that children with disabilities are mainstreamed. Ullswater Community College in Eden has an international reputation for its work on inclusion.

Areas for development include: support for early years, performance management, implementation of corporate plans, removal of surplus places, support for ethnic minorities and work on cultural diversity. We will also need to tackle specific areas such as the performance of Key Stage 4 and post 16 students in Carlisle, which is below the national average. We will develop further work with partners to get the most from the CYPSP in Cumbria.

6.4 Current issues and challenges

Both locally and nationally there are a number of key challenges that will confront the new LEA. We seek to demonstrate that we understand these challenges and that they are much better managed by two more locally focused unitary authorities than either the county-wide or north Cumbria option.

New ways of delivering education services and supporting children

The Children's Green Paper 2003 'Every Child Matters' presents an opportunity to radically reconsider the existing management structures for children's services. This is in addition to the existing Children and Young People's Strategic Partnership and work with the local Connexions and Sure Start programmes. We will move away from 'departmental' approaches to service delivery and draw local Education, Social Services and health services more closely together.

Special Educational Needs

We need to review SEN resources to ensure consistency of provision across the LEA and the prioritisation of inclusion. In particular we will need to examine the provision for children with complex needs, how they are catered for at the moment e.g. through exporting to other facilities and whether these arrangements either provide best value or the best service to children.

We want to ensure that SEN provision gives children the opportunity for progression into mainstream education and training and that we build on current successes to ensure that mainstream schools have the training and resources they need to accommodate the widest range of needs.

Exclusion/Behaviour Support

We acknowledge and support the work already taking place such as the Pastoral Support Programmes but more needs to be done to ensure the exclusion rate is reduced and there are more imaginative ways of supporting excluded pupils, e.g. using Youth Service, Connexions, YOT, Voluntary Sector, etc. We will also need to address attendance rates through support to individual schools.

Managing school facilities and numbers

The management of school numbers and demand in rural areas is a sensitive issue which requires political leadership and sound management analysis at a local level. The planning of school places and admissions arrangements, together with cooperation between consortia of schools and colleges are essentially local rather than county-wide issues. For example, the analysis completed in the Schools Organisation Plan is best undertaken at a more local level.

Extending community integration

While the current Department has made real progress on the Extended Schools programme, we will use our more local political and management structures to accelerate this process. We plan to use them for multi-agency teams, especially in the most deprived areas, ensuring greater integration and inclusion. For example, we will link specialist children and family services to Education and extend Adult Community Learning programmes, support people with learning difficulties and or disabilities or mental health problems.

Review of Post 16 Education

The LSC is currently consulting on the option of establishing a new sixth form college in Carlisle and our new LEA will need to ensure that GCSE/A level achievement rates are high through better support for post 16 students.

Adult Community Learning

We will develop this area of work through a Learning Partnership and ensure it is a strong sub group of the Carlisle and Eden LSP.

6.5 Our plans for the future

To improve local education attainment and overall performance we propose the following practical examples of what we intend to include:

Reflecting and meeting local school need

The Eden/Carlisle unitary will be in a better position to respond to local need and pursue individual policies in relation, for example, to rural schools. We will maximise access to

the 'Building Schools for the Future' programme as a means of targeting school building replacement. For example:

- in our area there is a real issue of surplus capacity in schools. We will revisit any existing arrangements for schools closure and make sure that future strategies balance local and environmental needs - minimising the movement of children between schools and home - with the requirement to deliver value for money; and
- Eden /Carlisle unitary will be established on the basis of strong local consultation by designing and building schools for the future, maximising the funding streams available to us. We would wish to establish schools that support and add value to local communities beyond pure educational use, for example in working with Social Services to support families. The lack of family support is a particular problem in our rural areas.

Joint working and service delivery partnerships

We would expect to establish some consortia with other local unitaries in the north west to provide greater critical mass and support to the schools. This is a common approach across LEAs at present for functions such as some specialist residential care provision. This would not involve the creation of joint boards but build flexibly on our significant experience of joint working and collaborative resource sharing. In parallel we intend to use existing county-wide policies for the transitional stages but add greater levels of localism for early years, SEN and the integration of children's care services such as schools and learning services. In practical terms we will review - according to best value principles:

- provision and the assets the LEA currently has - for example, special school/places in Cumbria where integration into mainstream schooling is high; and
- we will build service level agreements for transport, contract meals etc.

Post 14

We will address the concerns identified in the 14-19 Agenda and the forthcoming Thompson Report, especially those items relating to the vocational needs of young people in Eden/Carlisle who are disengaged and excluded from educational provision.

We will build on our strong relationships with secondary and special schools, Connexions, the voluntary sector, Youth Offending Team, Crime and Disorder Reduction Partnership to establish a range of innovative educational and training provision which accord with the needs of the local community and the future socio-economic climate.

The current Post 16 review of provision in the Carlisle area and its outcomes will provide the new unitary authority with the capacity to further develop progression routes to further and higher education, training and development. It will also enable the authority to address the concerns relating to surplus places in secondary schools by developing learning and training opportunities which support young people in the post 14 age group.

A more holistic view of public services through a community focus for schools

Working with our local community partners through the LSP, we will support schools to be a community focal point and provide links with our cross cutting objectives such as children's services, the crime and disorder strategy, ethnic minority achievement plan etc. We intend to strengthen the government's initiatives on 'extended schools' principles into

practice. This will enhance service delivery in the more rural areas of our unitaries, as we will seek to design future facilities that offer community functions, including improved customer access points. For example, we will build on our strong relationships with the Connexions, the Youth Service, YOT, voluntary sector services in order to, for example, reduce the exclusion rate and create more imaginative ways of supporting excluded pupils. Post 14 provision is weak in Cumbria and we want to combine this approach alongside the 'extended schools' principles to develop future schools around a more integrated and community focus.

Review the development of the LEA

Once the new department has established the basics of effective educational services we will consider the future development of the LEA. The key themes of such a strategy review are:

- the emergence of two distinct functions from the existing county educational service:
 - an early years, schools and adult/continuing education service; and
 - a combined children's service that begins to join-up existing specialist educational services such as SEN with Social Services/Health services relating to children and families.
- maintaining the level of delegation to schools and establishing effective consultation mechanisms with schools to agree the degree of delegation and flexibility to head teachers and schools governors; and
- closer working with PCTs to strengthen jointly delivered initiatives such as Sure Start and Children's Centres.

6.6 Conclusions

In conclusion we believe that the new unitary will be well placed to manage the transition from County department structure into a modern service based around:

- maintaining high quality education, where this exists;
- effective integration within the Councils' and partner structures; and
- providing strong parent, pupil and community support with capacity to plan and deliver ongoing improvement.

We believe that the Eden/Carlisle unitary - rather than a single county or north Cumbria authority - will be better disposed to manage the transformation around children's services. Whilst structural arrangements at this stage would still need to be agreed, we would expect each unitary to create new Integrated Children's Services functions that break down the traditional Social Services and Education divide.

7 Economic Development and Regeneration

7.1 Introduction and background

The history of strategic economic development and regeneration activity in Carlisle and Eden has been characterised by leadership at the District council level.

The exceptions to this generalisation are the development of the Regional Strategic Employment site at Kingmoor Park, Carlisle where the County Council was an active and equal partner with Carlisle City Council, and the development of the Carlisle Northern Development Route (Northern and Western by-pass scheme) as a PFI project.

With the exceptions above, all the principal strategic initiatives affecting the economic development and regeneration of Carlisle and Eden have been lead or initiated at district level. These include:

- the operation of Carlisle Airport and its transfer to the private sector
- enabling the development of the first University campus in Carlisle (University of Northumberland)
- initiating (with local MPs) a national lobby for the improvement of services on the West Coast Mail line which is the only lobby group recognised in the SRA Strategy and where the City Council is the budget holder and administrator
- redeveloping the centre of Carlisle and establishing it as a regional shopping Centre in partnership with the private sector
- developing the Tourism potential of Carlisle & Hadrian's Wall and the Eden Valley in partnership with the local Tourism sector
- development of a portfolio of economic development land and premises valued (including retail premises) in Carlisle's case at over £60 million

The above demonstrates a longstanding track record by the districts to think and act strategically in support of the local economy.

7.2 Carlisle and Eden's role in economic development & regeneration

The institutional landscape for economic development and regeneration in Cumbria has changed over the past two years and will change further in the future. The main feature of this change has been the formation of two Development Companies, one for West Cumbria & Furness, and another covering 'rural' Cumbria. The County Council is also seeking to externalise its already limited economic development and regeneration capacity and resources.

The remit of these companies, which were set up by the County Council, covers all of Cumbria, apart from urban Carlisle, which is excluded in terms of both policy objectives and practical assistance. Because of this omission, the development of Carlisle's role as

the principal urban centre within Cumbria and the identification of its needs falls wholly to the City Council and the Carlisle Eden LSP.

Further change, initiated by the Regional Development Agency, will give more responsibility for strategy delivery to sub regional partnerships in the future. The distinctive character of Cumbria means that there are at least three geographical subsets of the sub-regional economy, each with different characteristics, problems and opportunities. In some instances these are acknowledged by sub regional agencies but only to prioritise areas of need. It is expected that these differences will be recognised and addressed in the sub-regional strategy, which is under development.

The Carlisle-Eden area could be argued to be one of these economic sub-sets with distinctive economic development opportunities and regeneration needs. The economies of Carlisle and Eden districts are characterised by:

- extensive and remote rural areas with low population densities;
- low levels of unemployment (although this is increasing with the announcement of recent job losses);
- low wages and relatively few higher level job opportunities;
- strong agricultural service sectors;
- development opportunities linked to the M6;
- good access to national road and rail network, but perceived to be remote;
- economically fragile Market Towns; and
- strong tourism sectors.

Initiatives to address these issues should be seen as complementary to the needs of the sub region as a whole. The track record of the District councils to date suggests that they are capable of addressing these issues, which are outlined in the next section.

7.3 Current Priorities

The priorities for economic development and regeneration in Carlisle and Eden are:

Regeneration

- areas of urban deprivation in Carlisle
- development of the rural economy and society

Economic Development

- expansion of Carlisle's regional role, as an employment centre, for education provision, particularly Higher Education and as a cultural and retail centre
- modernising the economy - the need to move away from an economy characterised by low wages to one with better representation from higher growth sectors, more knowledge based
- supporting investment by existing firms in order to retain employment and skills
- infrastructure development and intervention in weak property markets to support and promote investment.
- development of Higher Education facilities
- improved communications, particularly east-west road links, the provision of Air Services at Carlisle Airport, traffic management and access within Carlisle

Transport Links with Economic Development and Regeneration

- the linkages between local transport policy and economic development and regeneration need to be strengthened. Linkages to enable easy access to the Regional Employment site at Kingmoor Park from West Cumbria and Southern Scotland need to be considered. Opportunities to connect areas of greatest worklessness to those areas where jobs are located need to be built into the Transport Plan, particularly public transport links between east and west Cumbria
- Carlisle City Council co-ordinated the local response to the 'Future of Air Transport' White Paper involving both the business community, Scottish local authorities and Cumbria CC
- the City Council is also working with the neighbouring English and Scottish local authorities under the banner of the annual 'Border Visions' conferences to lobby for better communications infrastructure for the Borders region

7.4 Current Initiatives

Joint Initiatives between Carlisle and Eden

- marketing of the M6 corridor for inward investment (with South Lakeland DC)
- operation of an 'Employment Alternatives' project to provide advice and support to individuals facing economic change (with South Lakeland DC)
- delivery of New Deal 18-24 and 25+ options under contract from Jobcentre Plus (Contract holder Carlisle City Council)

Both Councils and the Carlisle Eden LSP have engaged directly with the Regional Development Agency to seek support for priority schemes including:

- industrial estate development at the Eden Business Park which has attracted inward investment to Penrith and is helping raise wage levels in the area
- reinforcement of power supplies in Carlisle
- development of a major regeneration programme covering Carlisle's most deprived wards (Carlisle Economic Renewal Action Plan)

Both Councils have recognised the strategic importance of Carlisle Airport and have lobbied for public investment in its infrastructure and for support for scheduled services. In addition, as the former owner of the Airport, the City Council has worked with the new owners to:

- get stakeholder support including businesses and agencies within the Airport's Scottish catchment area
- engage the Cumbria Rural Regeneration Company which has now adopted the Airport as a key project
- lobby the RDA for public support for Air services
- co-ordinate a technical group to facilitate the implementation of the Airport Master Plan

Both Councils have adopted a positive and proactive approach to rural regeneration including:

- investing in, and supporting project development in rural communities including the nationally acclaimed Cyber Moor Project which brings integrated IT to homes and businesses in Alston and the Brampton Irthing Centre (Business and Tele-centre) which was highly commended in the BURA awards for 2000
- the Eden rural workspace programme and the Eden integrated start-up scheme encourages business start-ups in rural areas and market towns
- the Carlisle Rural Strategy 'Listening to the Rural Voice' followed an extensive period of consultation and sets out a framework for action in the Carlisle rural area over the next 10 years
- engagement with the Market Town Initiative programme.

8 Other Services

8.1 Provision of cultural services

Carlisle is identified as a 'City of Influence' in The Cultural Consortium: England northwest strategy action plan 2002-2003. It brings together all the public and private sector organisations involved both in developing and delivering cultural activities and driving regional economic and social regeneration across the region.

Its influence as a regional centre has also been acknowledged by the inclusion of the City Council's museum, Tullie House, as a member of the Northwest hub. These Hubs are now being developed in each of the English regions as centres of excellence to promote the best possible standards among regional museums, working alongside organisations such as national museums and the Regional Agencies for museums, archives and libraries in order to do so.

This means that the Eden/Carlisle unitary will be ideally placed to bring together former County and District services into an integrated 'cultural services' organisation that will co-ordinate the work of other departments and partners to enhance the quality of life for our own residents and those of the wider region.

8.1.1 Arts

The County Council involvement in the arts is minimal and focuses on grant distribution policy and strategy - there is no direct service provision. There are few county wide arts organisations. The County budget can easily be disaggregated between the new authorities in accordance with the location of supported organisations and this would be a clear benefit as we seek to restructure and co-ordinate cultural services with a more local focus, while at the same time acknowledging our regional role.

8.1.2 Archives

Archives are a specialist form of museum collection and in Cumbria there are three archives - at Carlisle, Kendal and Workington. A museum and archives service for each northern unitary is a practical option and will dovetail well with other cultural services to bring real improvement. In Eden/Carlisle we will manage the archive alongside Tullie House which will bring great benefits to access.

8.1.3 Libraries

We would seek to build on the existing service which aims to be a community service that adds value to the lives of people of all ages and backgrounds through access to knowledge, information, imagination, culture and heritage. One of our main concerns will be to link essential skills for adults to specialist literacy and numeracy provision within the Library service. Moreover the links with Early Years, local schools, specialist Education and Social Services need to be strengthened.

The current county library service cites 'widespread geographical limits' as a constraint on development. We can provide an appropriate locally tailored approach that will build on e-government and lifelong learning principles. Disadvantage, lack of opportunity and lack of access to services could be tackled by the implementation of wider electronic

services in homes, workplaces and schools and by the provision of services geared to local need through much closer working with community organisations.

8.1.4 Sports

Cumbria Sport is a body, jointly funded by the 6 districts, the County and Sport England. Its responsibilities are for the promotion of specific Sport England development programmes, particularly for schools and clubs. Sport England's priorities have changed recently so that the need for a county-wide sport body is doubtful. We will develop a sports strategy for the new unitary focused more on encouraging greater participation using existing services and facilities at a local level.

Carlisle is identified in the National Athletics Development Strategy as a regional centre for athletics. One of the objectives of this is to develop a facility capable of hosting regional athletics finals. The current Council has driven this forward by drawing in funding from both Sports England and the Football Foundation (Carlisle is a sub-regional centre for football development), providing capital funding, land and project management to develop an existing facility at The Sheepmount in Carlisle.

Following extensive local consultation, Eden District Council is now implementing an ambitious scheme to upgrade sports and leisure facilities in Penrith for the benefit of the whole district. This includes a new pavilion with sports pitches and new leisure facilities, extending the existing swimming pool, gym and climbing wall and constructing a new multi-sports activity centre. Community sports facilities are also supported across the district and a grant fund enables local sports groups to offer improved facilities and events and supports talented individuals to pursue sports at a national level.

8.1.5 Youth Service and Connexions

The current service provided by the County Council through Connexions is essentially a limited advice service for vulnerable and at-risk young people. The provision of opportunities at an earlier age is considered to be a more beneficial approach.

The new unitary authority would undertake an audit of existing services and provision in conjunction with groups such as the local Youth Work Partnerships and seek to design a new Youth Service based on an assessment of local need. We would seek to work with the Connexions service and local partners such as community centres, parish councils, schools, sports and leisure providers to provide a different and more comprehensively planned approach to the needs of young people. As we have already noted there would be close links to Education and a new Children's Service.

8.2 Summary

Because there are clear synergies between these services, the new unitary authority would manage them as part of an integrated service. It is a logical grouping around the delivery of cultural and leisure provision and makes use of our detailed knowledge of local communities and their organisations, underpinned by existing cultural strategies and their re-invigoration for the new unitaries.

9 Comparative analysis

9.1 Other regions

The decision not to recommend our proposed two north Cumbria unitary option for inclusion in the ballot paper is particularly strange in the light of proposals made by the Boundary Committee in other areas of the north of England.

For example, in County Durham, the North Durham option has a population of only 138,800, while the proposed East and South Durham unitaries are not much larger. In North Yorkshire, option 2 includes Hambleton and Richmondshire with a population of 131,000 and Ryedale and Scarborough with a population of 157,000. In Northumberland the non-county option is for two unitaries of 164,800 and 142,000.

In the light of these recommendations, the decision to exclude two northern unitaries for Cumbria on the basis of their size, despite them being of a similar scale or larger than the examples quoted above, seems to lack logic.

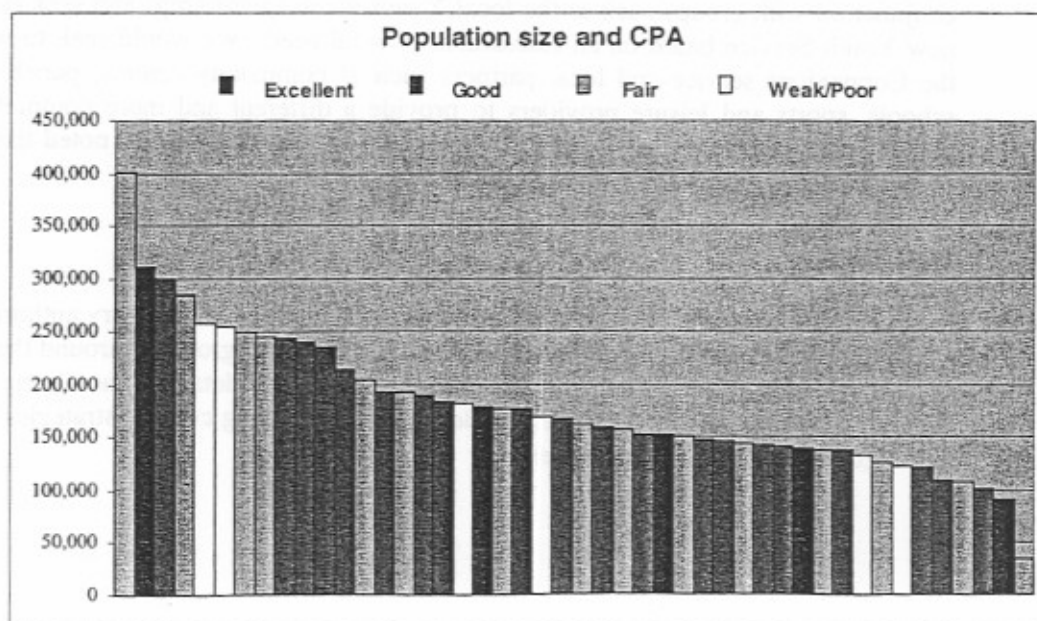
9.2 Reassurance over the size of proposed authorities

9.2.1 Population

The two-unitary authority proposal will not result in particularly small unitary authorities. In terms of population, the Allerdale/Copeland and Eden/Carlisle authorities are within the mid range of existing unitary authorities (34th and 28th respectively out of 52 authorities). Therefore, we believe - in simple population terms - the 'two authorities for the north' approach is both viable and sustainable.

9.2.2 Size and Comprehensive Performance Assessment

The graph below shows the lack of any clear relationship between corporate capacity and population as indicated by CPA score.



10 Transitional Arrangements

10.1 Programme and Project Management

Based on good practice and lessons from other similar authorities, we would see the management of the overall process through an overall project management board and a series of distinct robustly-managed projects for the individual items such as HR, property, IT, political arrangements etc.

10.2 Key Areas identified

Key features of the transition will be:

- dis-aggregation of County budgets - an exercise which we see as relatively straightforward given the high degree of co-operation which exists between the districts, the fact that many County services are already delivered along district lines and the lack of a major urban conurbation to skew the budgets. We would take the same approach to a division of the County assets between the new unitaries;
- the creation of new political structures which will create strong political leadership for the new authorities. All of our research with those unitaries created in the last decade tells us that a critical factor for success is the role that members can play in articulating the agenda for the new authority. We will therefore put in place an effective briefing programme for the elected members of the new authority to ensure that they have a very clear picture of:
 - the service agenda for county services and how these integrate with current local priorities
 - the executive and scrutiny roles, particularly members' role in representing local neighbourhoods, monitoring service performance and driving improvement within the unitary context.
 - effective member/officer relationships;
- human resources - early discussions with the representatives of employees to ensure the smooth transition of staff to the new organisation and managing the medium term rationalisation of people, particularly in support functions, where we would expect to find improvements in both cost and quality. We would seek to recruit a small number of experienced senior managers to key posts to drive the new organisation forward;
- partnerships - the strength of these is one of the reasons we feel that our proposals are the best unitary solution for Cumbria, but in order to maintain this advantage we will have to involve our partners very early in discussions about optimising the contribution of the new organisation;
- performance management - see chapter 4;
- joint arrangements - it is inevitable, whatever system of unitary government is chosen, that there will need to be some joint arrangements at 'transition day' in order to maintain services for customers. Our approach will be to manage these arrangements in the short term through our excellent relationships with other councils and then to move smoothly to new delivery arrangements in the first 12-18 months;
- IT - the disaggregation of County systems and amalgamation with District systems. The increasing emphasis on partnership working will mean a major agenda of

integrating systems e.g. between the PCT and Social Services/Housing which will be best managed locally; and

- early engagement with existing County functions and services to learn from current providers, plan effectively and reassure transferring employees.

11 Summary – an assessment of risk

In conclusion, we would wish to acknowledge that there is no solution to unitary government in Cumbria, which is without risk. For us, the critical question is whether the Boundary Committee has made a balanced assessment of the risks of each of the major options.

In our view there is significant risk attached to the county option because:

- the current organisation has not performed well and has not showed significant signs of improvement;
- their approaches to community engagement have been ineffective;
- polls show that residents have less sense of identity with the county and that they would prefer to have local government at a district level;
- the area is too large to manage effectively in terms of catering for the disparate needs of the separate areas within the county;
- the current organisation has done little to address the transition issues in taking over District services; and
- there is lack of experience or commitment to developing the kind of modernised approach to customer access and service that an effective unitary council needs.

Similarly we see a single unitary for North Cumbria as carrying equal if not more risk, in that it:

- would replicate the problems of geographical remoteness that have been experienced by the County;
- will have real problems in engaging local people in consultation about how they want their services to be run without trying to re-run arrangements that have already failed within the County;
- will damage the impact of what have been two very successful LSPs;
- has no support from any of the District councils covered by the proposal;
- has no current local government organisational infrastructure (other than parish councils and the County);
- seeks to combine areas which need improved transport links and have less in common in terms of community identity than the proposed two northern unitaries;
- will dissipate the effort required in each of the main areas to tackle real but very different regeneration issues; and
- may be perceived as a solution imposed from outside which will in turn increase electoral alienation and decrease turn-out.

On the other hand, we recognise that our proposal for two unitary authorities in the north has some risks, but on balance these are less than those above and can be more easily managed because:

- they seek to build on structures which have emerged as the best way to tackle our issues - the LSPs and their sub partnerships are real and successful organisations;
- there is a very high level of commitment from those that will have to deliver unitary local government to making these unitaries work;
- our Local Public Service agreement will focus specifically on our issues and challenges in Eden/Carlisle and it will necessarily look very different from that for Allerdale/Copland. The LPSA will be a significant framework for driving improvement and it will link closely to our priority setting process within the LSP;
- the assertion that other options will deliver economies of scale are not substantiated by evidence - indeed we believe that our organisations, by being more agile, better able to engage partners and more focused on our residents' priorities will deliver better value for money;
- we are best placed to develop our existing access points into an effective process for modern customer service, based on the principles of choice and getting the right answer at the first point of call;
- we are well placed to undertake the changes because we have conducted the necessary research to understand what the new unitary will have to do and can manage the transition; and
- we have the community networks that give the best opportunity for increasing local engagement and involvement in local decision-making.

We hope that the Boundary Committee will reconsider its original recommendations in the light of these arguments and give the people of north Cumbria a real choice about the structure of local government in their area by including the Eden/Carlisle and Allerdale/Copeland options on the ballot paper.

**EXCERPT FROM THE MINUTES OF THE
COMMUNITY OVERVIEW AND SCRUTINY COMMITTEE
HELD ON 12 FEBRUARY 2004**

COS.23/04 REGIONAL GOVERNMENT

The Town Clerk and Chief Executive submitted Report CE.06/04 presenting for scrutiny additional work carried out by KPMG Consultants in collaboration with the District Councils of Allerdale, Copeland, Eden and Carlisle in support of the Council's preferred option of a Unitary Carlisle and Eden Council.

The Town Clerk and Chief Executive pointed out that the KPMG document had been written as if it were Carlisle and Eden's submission back to the Boundary Committee and was not intended to be an objective analysis of the options.

Subject to the comments of the Overview and Scrutiny Committees and the Executive on 13 February 2004, the document would be considered by the City Council at a special meeting on 20 February 2004 prior to a submission to the Boundary Committee on 23 February 2004.

A copy of a report of the Town Clerk and Chief Executive (SP.02/04) which had been submitted to the Executive on 5 February 2004 together with a copy of the Minute of the meeting dealing with Regional Government and the Local Government Review had been circulated to Members prior to the meeting by way of background papers.

The Town Clerk and Chief Executive reminded Members that the Boundary Committee's draft recommendations were for either :

- (a) A county wide unitary or
- (b) Two Unitary Authorities (North Cumbria consisting of Allerdale, Copeland, Carlisle and Eden District Councils and a South Cumbria and Lancaster Unitary comprising Barrow, South Lakes and Lancaster Councils).

The City Council's preferred option of 3 Unitary Authorities in Cumbria, one of which would Carlisle and Eden, had not been included as an option by the Boundary Committee in the latest consultation arrangements.

The Town Clerk and Chief Executive further reported that the KPMG report had been scrutinised by the Infrastructure and Corporate Resources Overview and Scrutiny Committees earlier in the week with certain factual and typographical points raised. The KPMG report would be revised to take account of these matters and any further issues raised by Members at this meeting.

Members views were invited and the following points raised –

- (a) a Member questioned whether the Boundary Committee's option for one Unitary Authority for the whole of Cumbria was a viable option. For this option to proceed, the Member considered that a Unitary Authority covering the whole of Lancashire would need to be put in place. This seemed unlikely given the size of such an Authority. It seemed more likely that the Morecambe Bay Unitary Authority option would succeed leaving North Cumbria as the only viable option.
- (b) A Member questioned whether the KPMG report had been prepared in line with Council policy as it did not mention the Barrow/South Lakeland Unitary Authority pairing.

The Town Clerk and Chief Executive acknowledged that the City Council policy was for three pairs of Unitary Authorities but that the KPMG report sought to address issues relating to the Carlisle and Eden pairing only as it was intended to form the basis of the City Council's further submission to the Boundary Committee.

- (c) A Member referred to the fact that Local Authorities in Northumberland had reviewed their preferred options in the light of the Boundary Committee's draft recommendations.
- (d) The Chairman asked whether the outcome of South Lakeland District Council's deliberations on the Boundary Committee's draft recommendations could be forwarded to all Members of the City Council.

The Town Clerk and Chief Executive reported that he had received notification of South Lakeland's revised position and would circulate this to all Members of the City Council.

- (e) Members pointed out that the KPMG report was political in nature and that a proper political debate on the revised submission would take place at the meeting of the City Council on 20 February 2004.

RESOLVED – That Report CE.06/04 and the KPMG Report be noted and the above comments of Members be forwarded to the Executive on 13 February 2004 and the City Council on 20 February 2004.

Item 9 refers

Decision Ref No:

EX.033/04

Subject Matter:

REGIONAL GOVERNMENT - FURTHER SUBMISSION TO THE BOUNDARY COMMITTEE

A report from the Town Clerk and Chief Executive (CE.07/04) containing details of additional work carried out by KPMG Consultants on behalf of the District Councils of Allerdale, Copeland, Eden and Carlisle in support of the Council's preferred option of a Unitary Carlisle and Eden Council had been circulated to Members with the papers for the meeting.

Since then, the KPMG document had been considered at meetings of the Overview and Scrutiny Committees held on 9, 11 and 12 February 2004 and the views expressed at these meetings were circulated.

The Town Clerk and Chief Executive tabled at the meeting a revised KPMG document containing suggested amendments to take into account the views of the Overview and Scrutiny Committees. The Chairmen of the Community and Corporate Resources Overview and Scrutiny Committees were present at the meeting and commented on the outcome of their Committees' deliberations.

Decision:

1. That subject to minor typographical errors which have been pointed out to Officers and corrected and to the other amendments listed below, the Executive adopt the revised KPMG document, as tabled at this meeting, as the report of the Executive to the City Council on 20 February 2004 as their proposed response with a recommendation that it be approved as the City Council's formal response to the Boundary Committee's initial recommendations on the future structure of local government in Cumbria should regional government be introduced in the north west of England:-
 - (a) the use of the word 'intimate' in the penultimate paragraph of 3.4 on P5 being reviewed;
 - (b) the word 'two' being deleted from the fifth bullet point on P1;
 - (c) the addition of '(other than Parish Councils and the County Councils)' after the word infrastructure in the seventh bullet point on P1;
 - (d) the proposal to delete the last sentence in the third paragraph on P12 be not approved;
 - (e) footnote 2 on P12 be amended to reflect the fact that local health groups are very close to amalgamation;
 - (f) the assessment of risk summary on P31 be amended to reflect the changes agreed by the Executive to P1;
 - (g) that the views of the Corporate Resources Overview and Scrutiny Committee relating to the public transport links between east and west Cumbria and the potential implications for elected Members with no access to private transport be included within the report.
2. That the Overview and Scrutiny Committees be thanked for their input in scrutinising the draft KPMG document.

Key or Non-Key Decision:

Key

Key Decision Ref:

KD.109/03

Portfolio:

Policy, Performance Management, Finance and Resources

Who made decision:

Executive

Date:

13-Feb-04

Reports and Background Papers considered:

Report CE.07/04 of the Town Clerk and Chief Executive

Reasons for Decision:

The Executive has made a recommendation to the City Council on the content of a further submission to the City Council on 20 February 2004.

Summary of Options rejected: None

Interests declared None

Date published 13-Feb-04

Urgent decision not subject to call in Yes

Consent of Chairman/ Deputy Chairman of Council to Urgency:

Consent of Mayor obtained 11 February 2004

Deadline for call-in: Not applicable

Implementation date if not called-in: Not applicable

Relevant Overview and Scrutiny Committee:

Not applicable

Call-in notified to and date notified:

Approved for implementation on: